

The following information is based on data provided by the company; it has been independently audited for completeness and accuracy (Pursuant to stated ISSF guidelines).



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ISSF Participating Company:

**Foodtech JSC**

Update of Compliance Status issued on:

October 18, 2016 for activities in 2015

Compliance Snapshot			
Conservation Measure	Current	2015	2014
1.1 RFMO Authorized Vessel Record	OK	OK	MINOR
1.2 RFMO Participation	OK	OK	OK
2.1 Product Traceability	OK	OK	MINOR
2.2 Quarterly Data Submission to RFMO	OK	MINOR	MINOR
3.1(a) Shark Finning Policy	OK	OK	OK
3.1(b) Prohibition of Transactions with Shark Finning Vessels	OK	OBS	OK
3.1 (c) Prohibition of Transactions with Companies without a Public Policy	MINOR	MINOR	MINOR
3.2 Large-scale Pelagic Driftnets	OK	OK	OK
3.3 Full Retention of tunas	OK	MINOR	OK
3.4 Skippers Best Practices	OK	OK	MAJOR
4.1 UVI-IMO	OK	OK	MINOR
4.2 Purse Seine Unique Vessel Identifiers	OK	OK	OK
4.3(a) Observer Coverage	OK	OK	OK
4.4(a) Transshipment	N/A	N/A	OK
5.1 IUU Fishing	OK	MINOR	MINOR
5.2 IUU Product Response	OK	MINOR	MINOR
6.1 Transaction Ban for LPS vessels not Actively Fishing for Tuna on Dec. 31, 2012	OK	OK	OK
6.2(a) 2.a-d and 6.3(a) Requirements for Inclusion in Large-scale Purse Seine Vessels	N/A	N/A	N/A
7.1 Registration of Controlled Vessels	N/A	N/A	N/A
8.1 Exemption for Very Small Purse Seine Vessels	N/A	N/A	N/A

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### Detail of Conservation Measure and Corrective Action

CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
2.2	Quarterly Data Submission to RFMO	Send information as described in the measure to RFMO scientific bodies for each quarter (Q1-Q3) by the end of the month following each quarter.	All	Auditor checks that information has been sent by companies to RFMO for all purchases.	OK  OK  OK	Carrier Vessel IMO number has not been listed in the RFMO reports  Although many local purse seiners have been assigned an ISSF UVI number, these numbers have not been included in the RFMO reports as a UVI number.  All vessels from traceback were listed on Q reports.	In Sep/Oct, Foodtech provided evidence for carrier vessel IMO number as well as RFMO submissions for 2016.  In Sep/Oct 2016, Foodtech provided evidence of UVI numbers for local purse seiners being included in RFMO reports.
3.1(c)	Prohibition of Transactions with Companies without a Public Policy Prohibiting Shark Finning	No transactions with companies that do not have a public policy prohibiting shark finning. If transactions involve flag states that absolutely prohibit shark finning no policy is required. If flag state allows 5% shark fin retention, the company must have a public policy.	All	Auditor reviews company procedure for ensuring that all tuna purchases have come from a company that has a public policy prohibiting shark finning. For flag states that do have an absolute shark finning prohibition, auditor will review all publicly available material to ensure no	Minor	Company states that they only purchase tuna from fishing vessels with catch certificate issued by fishery authority.  The company did not specify if and how they ensure all tuna purchases come from companies with public shark finning policy.	In Sep/Oct, Foodtech provided evidence of how staff are trained to understand what shark finning is, and how to recognize the practice when purchasing from fishing vessels. Additionally, Foodtech provided

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				shark finning has taken place. Traceability exercises by transaction or can code to PVR vessels or proof of compliance verifies the system.		<p>Unable to confirm for non-PVR local purse seiners whether an anti-shark finning policy was in place</p> <p>Most traceback vessels were small scale purse seine with Vietnamese flag. Could not find evidence of public shark finning policy for these vessels</p>	<p>evidence on how its staff makes sure its anti-shark finning policy is being upheld at point of purchase.</p> <p>Company provided evidence of supplier shark finning policy on 7/14/16, however this only covers five primary suppliers. Foodtech understands that all suppliers need to have their own shark finning policy and/or a statement acknowledging Foodtech's shark finning policy.</p>
3.3	Full Retention of Tunas	All purse seine caught tuna (skipjack, yellowfin and bigeye) retained onboard, except those unfit for human consumption as defined, or when in the final set of a trip, there is insufficient well space to accommodate all fish caught in that set. If the vessel fishes in areas where full retention is mandatory, no further policy is needed.	All Purse Seine	Verify that the company sources tuna from vessels that practice full retention of tunas. Auditor checks PVR. For vessels not listed on the PVR, company provides evidence of vessel policy or RFMO requirement regarding full retention of tunas.	OK	<p>Imported tuna comes from vessels listed on PVR.</p> <p>Locally sourced tuna come from small scale purse seine fisheries with Vietnam flag. Vietnam is a cooperating non-member of WCPFC.</p> <p>Could not find evidence</p>	Evidence provided on 7/27 indicates full retention policy in place.

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		If RFMO does not require full retention, vessel must have documented and implemented policy in accordance with this conservation measure.				of full tuna retention policy for Vietnamese flagged purse seiners	
5.1	Illegal, Unreported and Unregulated (IUU) Fishing	No transactions with vessels on any tuna RFMO IUU vessel list.	All	Auditor checks quarterly data sent by the company to the RFMO for the presence of IUU listed vessels.	OK	No IUU vessels in RFMO reports	
				The auditor reviews the company purchasing system to prevent IUU fish. This will also be assessed during traceability exercises to verify that products do not originate from IUU vessels and by reviewing compliance committee reports, commission reports, etc.	OK	Company states that they only purchase tuna from fishing vessels with catch certificate issued by fishery authority. However, it did not specify if and how this was internally verified.  No evidence of IUU was identified on vessels from traceback	On 7/27, company provided evidence to show that it had a procedure in place to prevent purchasing of IUU products.
5.2	Illegal, Unreported and Unregulated (IUU) Product Response	No IUU purchases. If IUU found, company must withdraw these products from the marketplace.	All	Auditor reviews company recall procedure for presence of IUU policy, then reviews company purchases and compares to RFMO IUU lists.	OK	No evidence was submitted regarding a recall procedure to identify and withdrawal in case of IUU detection	Foodtech has described an adequate system for the prevention of IUU fish, and on 8/2/2016 provided their recall policy/procedure should IUU be found in the supply chain.

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				Company initiates market withdrawal of IUU products.	N/A	No withdrawal due to IUU.	