



8950 MLK Jr. St. N., Suite 202
St. Petersburg, FL 33702 USA
Tel: (727) 563-9070
Fax: (727) 563-0207
Email: mrag.americas@mragamericas.com

President: Andrew A. Rosenberg, Ph.D.

Tri Marine

The following information is based on data provided by the company; it has been independently audited for completeness and accuracy (Pursuant to stated ISSF guidelines).

Updated Compliance Report (as of 17 July 2018, for activities in 2017)

I, Renato Curto, Chairman of the Board for Tri Marine, acknowledge receipt of this updated compliance report, and have taken notice of any potential non-conformances contained within.

Signature¹:

A handwritten signature in dark ink, appearing to be 'R. Curto', written over a horizontal blue line.

Date:

A handwritten date 'July 18, 2018' in dark ink, written over a horizontal blue line.

¹ Please note that ISSF mandates that you sign this report.

Compliance Snapshot					
Conservation Measure	2017 Update	2017	2016	2015	2014
1.1 RFMO Authorized Vessel Record	OK	OK	OK	OK	OBS
1.2 RFMO Participation	OK	OK	OK	OK	OK
2.1 Product Traceability	OK	OK	OK	OK	OK
2.2 Quarterly Data Submission to RFMO	OK	MINOR	OK	OBS	MINOR
3.1(a) Shark Finning Policy	OK	OK	OK	OK	OK
3.1(b) Prohibition of Transactions with Shark Finning Vessels	OK	OK	OK	OK	OK
3.1 (c) Prohibition of Transactions with Companies without a Public Policy	OK	OK	OK	OK	OK
3.2 Large-scale Pelagic Driftnets	OK	OK	OK	OK	OK
3.3(a) Full Retention of tunas	OK	OK	OK	OK	MINOR
3.4 Skippers Best Practices	OK	OK	OK	OK	MINOR
3.5 Transactions w/ Vessels that use Only Non-Entangling FADs	OK	OK			
4.1 UVI-IMO	OK	OK	OK	OK	OBS
4.2 Purse Seine Unique Vessel Identifiers	OK	OK	OK	OK	OBS
4.3 Observer Coverage	OK	MINOR	OK	OK	OK
4.4 Transshipment	OK	OK	OK	OK	MINOR
5.1 IUU Fishing	OK	OK	OK	OK	OK
5.2 IUU Product Response	OK	OK	OK	OK	OK
6.1 Transaction Ban for LPS vessels not Actively Fishing for Tuna on Dec. 31, 2012	OK	OK	OK	OK	OK
7.1 Registration of Controlled Vessels	OK	MINOR	OK	OK	OK
7.3 Purchases from PVR Vessels	OK	OK	OK		
8.1 Exemption for Very Small Purse Seine Vessels	OK	OK	N/A	N/A	N/A

Detail of Conservation Measure and Corrective Action

CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
2.2	Quarterly Data Submission to RFMO	<p>a. Send information for all round fish purchases (skipjack, albacore, yellowfin, bigeye) as described in the measure to RFMO scientific bodies for each quarter by the last day of the following calendar quarter.</p> <p>b. As described by ISSF, for each quarter, Participating Companies are required to report (no later than the last day of the following calendar quarter) if they have no purchase of round fish (in total or from a typical RFMO region).</p> <p>c. A Participating Company that only purchases loins and finished goods from other ISSF participating companies is exempt from sending RFMO data. However, company must affirm so quarterly via an unprompted email to rftmodata@iss-foundation.org</p>	All	<p>Auditor checks that information has been sent by companies to RFMO for all purchases.</p> <p>Auditor checks if PC has sent quarterly report to MRAG indicating no purchase of round fish. Auditor notes that this requirement did not come into effect until October 2016, therefore if company failed to report no purchases of round fish, this should be noted as an observation.</p> <p>Auditor checks that email has been sent to rftmodata@iss-foundation.org indicating that company only purchases loins and/or finished goods from other ISSF Participating Companies. Here again, requirement came into effect in Oct 2016, thus if company did not send the emails, it should be noted as an observation.</p>	OK	<p>All RFMO Submissions were sent to relevant RFMOs according to the ISSF timeline. However, reports were received from companies/regions for some QTRs but not all. A statement explaining whether or not fish was received from these areas is required: TMFC_IATTC QTR 1 and QTR2; WCPFC Seafman QTR 2 // On 2/25 company provided evidence that WCPFC Seafman Q2 was submitted on time. // On 2/27 company provided a written statement that no tuna was purchased from TMFC_IATTC in Q1 and Q2. Finally, company provided evidence that it had put in place a system to ensure notifications are sent to the audit provider when no tuna purchases occur in RFMOs during certain quarters.</p>	<p>Closed on July 17, 2018.</p> <p>Company provided evidence that it had corrected the issues raised during the audit. Company has put in place a system to ensure similar errors do not occur going forward. Review of company's Q4 2017 and Q1 2018 RFMO reports provide some evidence that company system functions as intended.</p>
4.3(a)	Observer Coverage	Evidence of 100% observer coverage (human or electronic) unless exempt or prevented by force majeure.	Large Purse Seine	Auditor assesses company system for observer coverage of controlled vessels, company data submission to	OK	<p>Most LSPS are either registered on IATTC or WCPFC which have 100% coverage, or are listed on</p>	<p>Closed on July 17, 2018.</p> <p>Company provided evidence that it had revised its internal</p>

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		The data collected by the observer must be made available to the flag state authorities and, if appropriate, to the RFMO, in the format required by the flag state (and RFMO). In case the flag state (or RFMO) does not accept the data, the vessel owner must store data for at least three years from the end of the trip. At a minimum, data to be made available are those fields required by the flag state for vessel logbooks.		RFMOs and RFMO committee meetings and compliance reports, etc. For non-PVR vessel purchases, the PC provides details of agreement with observer provider (human or electronic) for 100% coverage. If RFMO requires 100% observer coverage, no further evidence is required.		<p>PVR with no red flag on this CM.</p> <p>The following vessels are listed on PVR with red flag on observer coverage: Costa del Sol, Caracol // On 2/25 company noted purchases had been made by a misguided former employee and provided evidence of steps taken by company to inform ISSF and rectify the situation asap.</p> <p>Unable to verify Jubidana on PVR by name or IMO number, and vessel is not listed on WCPFC, therefore unable to verify whether this vessel has met this requirement. This vessel is a large purse seine. // On 2/25 company provided evidence that F/V Jubidana was properly registered on the PVR and compliant with this measure at time of sourcing.</p> <p>All selected large purse seine vessels that are part of the traceability exercise have 100% observer coverage as per PVR records.</p>	<p>procedure procedures to prevent purchases from vessels that are not in good standing on the PVR. Review of the Q4 2017 and Q1 2018 RFMO reports shows that all PVR vessel purchases are from vessels with a green check for this conservation measure.</p> <p>Regarding the Jubidana, company had already provided evidence that while vessel is no longer listed on the PVR (due to it being sold in 2015), it was compliant with this conservation measure at the time the fish was caught in early 2015.</p>

CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
7.1	Registration of Controlled Vessels	Register all controlled purse seine vessels on the PVR.	All Purse Seine	Auditor will obtain list of controlled vessels from company and compare to PVR. Information may also come from industry and media sources.	OK	The vessels Barbara H. with IMO 8886498 (GT 124), Cape Blanco IMO 8886618 (GT 176) and Ferrigno Boy IMO 7940376 (GT 122) have been listed by the company as controlled purse seine vessels but are not listed on the PVR. // On 2/25 company noted these opportunistically fish for tuna and that a company oversight led to them not being listed on the PVR. Company provided evidence that it had contacted ISSF to get vessels properly registered and ensure they are in good standing against each CM that applies.	Closed on July 17, 2018. All three vessels are currently listed on the PVR and in good standing for all conservation measures.