

The following information is based on data provided by the company; it has been independently audited for completeness and accuracy (Pursuant to stated ISSF guidelines).



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ISSF Participating Company:

**Atunes Y Lomos, S.L. (Atunlo)**

Update of Compliance Status issued on:

August 31, 2016 for activities in 2015

Compliance Snapshot			
Conservation Measure	Current	2015	2014
1.1 RFMO Authorized Vessel Record	OK	OK	N/A
1.2 RFMO Participation	OK	OK	N/A
2.1 Product Traceability	OK	OK	N/A
2.2 Quarterly Data Submission to RFMO	OK	MAJOR	N/A
3.1(a) Shark Finning Policy	OK	OK	N/A
3.1(b) Prohibition of Transactions with Shark Finning Vessels	OK	OK	N/A
3.1 (c) Prohibition of Transactions with Companies without a Public Policy	OK	OK	N/A
3.2 Large-scale Pelagic Driftnets	OK	OK	N/A
3.3 Full Retention of tunas	OK	MINOR	N/A
3.4 Skippers Best Practices	OK	MAJOR	N/A
4.1 UVI-IMO	OK	MINOR	N/A
4.2 Purse Seine Unique Vessel Identifiers	OK	MINOR	N/A
4.3(a) Observer Coverage	MINOR	MINOR	N/A
4.4(a) Transshipment	OK	OK	N/A
5.1 IUU Fishing	OK	OK	N/A
5.2 IUU Product Response	OK	OK	N/A
6.1 Transaction Ban for LPS vessels not Actively Fishing for Tuna on Dec. 31, 2012	OBS	OBS	N/A
6.2(a) 2.a-d and 6.3(a) Requirements for Inclusion in Large-scale Purse Seine Vessels	N/A	N/A	N/A
7.1 Registration of Controlled Vessels	OK	OK	N/A
8.1 Exemption for Very Small Purse Seine Vessels	N/A	N/A	N/A

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### Detail of Conservation Measure and Corrective Action

CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
2.2	Quarterly Data Submission to RFMO	Send information as described in the measure to RFMO scientific bodies for each quarter (Q1-Q3) by the end of the month following each quarter.	All	Auditor checks that information has been sent by companies to RFMO for all purchases.	OK	Sent one report in for audit request, but no evidence any RFMO data was sent to relevant RFMOs.	On 6/30, company provided evidence that RFMO data had been sent according to ISSF's timeline requirements.
3.3	Full Retention of Tunas	All purse seine caught tuna (skipjack, yellowfin and bigeye) retained onboard, except those unfit for human consumption as defined, or when in the final set of a trip, there is insufficient well space to accommodate all fish caught in that set. If the vessel fishes in areas where full retention is mandatory, no further policy is needed. If RFMO does not require full retention, vessel must have documented and implemented policy in accordance with this conservation measure.	All Purse Seine	Verify that the company sources tuna from vessels that practice full retention of tunas. Auditor checks PVR. For vessels not listed on the PVR, company provides evidence of vessel policy or RFMO requirement regarding full retention of tunas.	OK  OK  OK	Company provided full tuna retention statements for some companies. In some cases, company policies were submitted in others just a statement that the company commits with all ISSF CM including full tuna retention.  The vessel Agnes 1 listed on PVR has red cross (non-compliance) for full tuna retention.  All vessels from traceback are listed on PVR.	Company noted that vessel Agnes 1 now has a PVR green check for tuna retention CM.
3.4	Skipper Best Practices	Unless exempt per Conservation Measure 8.1, skipper [1] has attended an ISSF Skippers Workshop	All Purse Seine	Verify that the company has purchased tuna only from vessels with skippers that have	OK	Several purse seine vessels that are not listed on PVR need further evidence of	On June 30, company indicated that it had changed its policy in order

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		in person, has viewed the Skippers Workshop video online, or has reviewed the Skippers Guidebook. The workshop video is located at this <a href="#">link</a> . The online guidebook is located at <a href="http://www.issfguidebooks.org">www.issfguidebooks.org</a> .		completed ISSF best practices education. Auditor checks PVR and ISSF has a list of individuals who have attended Skippers Workshops or have certified that they read the online Skippers Guidebook or viewed the online Skippers Workshop video; or the PC may provide evidence of skipper review of guidebook, video, including list of skippers, method of review and dates of completion.		<p>skipper training: Drago, IMO#7707073; Belouga, IMO#7360320; Ginno D, IMO#7383700; Juan Ramon Egana/Pont Saint Louis, IMO#8222422; Erroxape, IMO#7413816; Eileen, IMO#7201146; Cape Blanco, IMO#8886618; Barbara H, IMO#8886498; Ferrigno Boy, IMO#7940376; Hayabussa Maru 75, IMO#9234173; in addition to the several purse seiners listed without UVI/IMO#: VESSELS ZHEDIYU13127; ZHEDIYU12871; ANITAJAYA XII; ANEUKMEUTUAH; REDJEKI07; MALAUGIS 01; WARNOW CHIEF AND RESTY B.T ARE NOT LISTED WITH AN IMO#, UVI, OR TUVI.</p> <p>OK The vessel Agnes 1 listed on PVR has red cross (non-compliance) for skipper education.</p> <p>OK All vessels from traceback were listed on PVR.</p>	<p>to only purchase product from vessels that could demonstrate skipper training had occurred.</p> <p>Company noted that vessel Agnes 1 now has a PVR green check for tuna retention CM.</p>

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4.1	Unique Vessel Identifiers - IMO	All purchases must be from vessels with an IMO UVI number if the vessel is capable of being registered by IMO.	All	Auditor reviews company system to ensure vessel purchases meet this criterion. A sample of non-PVR purchases will be reviewed to assess whether the company system is adequate to ensure that non-PVR vessels meet this requirement.	OK	Company has an adequate system to ensure all purchases come from vessels with IMO number if applicable. Company requests to the supplier the annex of the purchase signed and stamped that requires all suppliers to have UVI number. The new suppliers have to fill the questionnaire with questions that include IMO number.	On June 30, company indicated it had changed its sourcing policy to meet this CM. It further indicated that no product was sourced from the following vessels in 2016: ZHEDIYU13127; ZHEDIYU12871; ANITAJAYA XII; ANEUKMEUTUAH; REDJEKI07; MALAUGIS 01; WARNOW CHIEF AND RESTY B.T ARE NOT LISTED WITH AN IMO#, UVI, OR TUVI.
					OK	VESSELS ZHEDIYU13127; ZHEDIYU12871; ANITAJAYA XII; ANEUKMEUTUAH; REDJEKI07; MALAUGIS 01; WARNOW CHIEF AND RESTY B.T ARE NOT LISTED WITH AN IMO#, UVI, OR TUVI.	
					OK	All vessels from traceback were listed on PVR and have IMO.	

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CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
4.2	Purse Seine Unique Vessel Identifiers	All purse seine vessels with which the company transacts in tuna, and which are not able to receive an IMO UVI number, must have a TUVI issued by CLAV <a href="http://www.tuna.org.org/GlobalTVR.htm">http://www.tuna.org.org/GlobalTVR.htm</a> or ISSF <a href="http://issf.foundation.org/download-monitor-demo/download-info/uvi-and-imo-number-instructions/">http://issf.foundation.org/download-monitor-demo/download-info/uvi-and-imo-number-instructions/</a> unless exempt per Conservation Measure 8.1.	All Purse Seine	Auditor reviews company method to ensure that all vessel purchases meet this criterion. A sample of non-PVR purchases will be reviewed to assess whether the company system is adequate to ensure that non-PVR vessels also meet this requirement.	OK	Company has a system to ensure all purchases come from vessels with IMO number. Company requests to the supplier the annex of the purchase signed and stamped that requires all suppliers to have UVI number. The new suppliers have to fill the questionnaire with questions that include having an IMO UVI number.	
					OK	Vessels: ZHEDIYU13127; ZHEDIYU12871; ANITAJAYA XII; ANEUKMEUTUAH; REDJEKI07; MALAUGIS 01; WARNOW CHIEF and RESTY B.T are not listed with an IMO #, UVI or TUVI.	On June 30, company indicated it had changed its sourcing policy to meet this CM. Suppliers were asked to explain why vessels did not have a UVI/IMO. Meanwhile, company indicated that no product was sourced from the following vessels in 2016: ZHEDIYU13127; ZHEDIYU12871; ANITAJAYA XII; ANEUKMEUTUAH; REDJEKI07; MALAUGIS 01; WARNOW CHIEF

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					OK	All vessels from traceback were listed on PVR.	AND RESTY B.T
4.3(a)	Observer Coverage	Evidence of 100% observer coverage (human or electronic) unless exempt or prevented by force majeure. The data collected by the observer must be made available to the flag state authorities and, if appropriate, to the RFMO, in the format required by the flag state (and RFMO). In case the flag state (or RFMO) does not accept the data, the vessel owner must store data for at least three years from the end of the trip. At a minimum, data to be made available are those fields required by the flag state for vessel logbooks.	Large Purse Seine	Auditor assesses company system for observer coverage of controlled vessels, company data submission to RFMOs and RFMO committee meetings and compliance reports, etc. For non-PVR vessel purchases, the PC provides details of agreement with observer provider (human or electronic) for 100% coverage. If RFMO requires 100% observer coverage, no further evidence is required.	Minor  OK  OK	Company requests to the supplier the annex of the purchase signed and stamped that vessels comply with 100 % observer coverage. New suppliers also have to complete a questionnaire. It is not clear how they verify this.  Vessel from PVR Agnes 1 has a no conformity on this CM.  All vessels from traceback were listed on PVR.	Please clarify if and how this information is verified  Company noted that vessel Agnes 1 now has a PVR green check for tuna retention CM