

The following information is based on data provided by the company; it has been independently audited for completeness and accuracy (Pursuant to stated ISSF guidelines).



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ISSF Participating Company:

Celebes Canning

Update of Compliance Status issued on:

August 31, 2016 for activities in 2015

Compliance Snapshot			
Conservation Measure	Current	2015	2014
1.1 RFMO Authorized Vessel Record	OK	MINOR	N/A
1.2 RFMO Participation	OK	OK	N/A
2.1 Product Traceability	OK	OBS	N/A
2.2 Quarterly Data Submission to RFMO	MINOR	MAJOR	N/A
3.1(a) Shark Finning Policy	OK	OK	N/A
3.1(b) Prohibition of Transactions with Shark Finning Vessels	OK	OK	N/A
3.1 (c) Prohibition of Transactions with Companies without a Public Policy	OBS	MINOR	N/A
3.2 Large-scale Pelagic Driftnets	OBS	OBS	N/A
3.3 Full Retention of tunas	MINOR	MINOR	N/A
3.4 Skippers Best Practices	OBS	MINOR	N/A
4.1 UVI-IMO	OBS	MAJOR	N/A
4.2 Purse Seine Unique Vessel Identifiers	OBS	MINOR	N/A
4.3(a) Observer Coverage	OBS	MINOR	N/A
4.4(a) Transshipment	OBS	OBS	N/A
5.1 IUU Fishing	OBS	OBS	N/A
5.2 IUU Product Response	MINOR	MINOR	N/A
6.1 Transaction Ban for LPS vessels not Actively Fishing for Tuna on Dec. 31, 2012	OBS	OBS	N/A
6.2(a) 2.a-d and 6.3(a) Requirements for Inclusion in Large-scale Purse Seine Vessels	N/A	N/A	N/A
7.1 Registration of Controlled Vessels	OK	MAJOR	N/A
8.1 Exemption for Very Small Purse Seine Vessels	N/A	N/A	N/A

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Detail of Conservation Measure and Corrective Action

CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
1.1	Tuna RFMO Authorized Vessel Record	All purchases must be from vessels listed on the authorized vessel record of the RFMO governing the ocean area in which the tuna was caught, at the time of the fishing trip, so long as the vessel is of a size subject to listing in the RFMO authorized vessel record. For any purchases from non-PVR vessels, maintain a system check and approve vessel listing.	All	Auditor reviews company system to ensure that purchases are from properly listed vessels. Traceability exercises, reviewing RFMO vessel records and checking ocean areas where vessels are fishing verifies the system.	Ok	Could not find evidence of company system to ensure all purchases are from vessels registered on RFMO lists. Could not find evidence of RFMO registration of Genevieve, GFI88, San Andres 15, Queen Lily, It is not clear if they are excluded because they fishing within EEZ	On 8/3, Celebes stated that purchases from these vessels will be discontinued until the vessels are properly registered.
2.1	Product Traceability	Demonstrate ability to trace products from can code or sales invoice to vessel and trip.	All	Auditor will review recent mock recalls, if available, and select a sample of can codes or sales invoices by label and destination from which the company will conduct traceability exercises. If the company produces cans from loins, or sells loins as a finished good, these products must be included in the assessment.	Ok	Company provided evidence of tracking products by product code, trip date and vessel name however was unable to provide supporting documentation within the requested timeframe. This may have been the result of the company sending audit information through incorrect channels.	Company provided adequate supporting documentation regarding their traceability system. Observation is closed. CS 5/13/16
2.2	Quarterly Data Submission to RFMO	Send information as described in the measure to RFMO scientific bodies	All	Auditor checks that information has been sent by companies to	Minor	Company was unable to provide evidence that the required data has	On 8/3 Celebes provided RFMO reports however

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		for each quarter (Q1-Q3) by the end of the month following each quarter.		RFMO for all purchases.		been sent to RFMOs.	has not provided evidence that these reports have been sent to the RFMOs with copy to ISSF.
3.1(c)	Prohibition of Transactions with Companies without a Public Policy Prohibiting Shark Finning	No transactions with companies that do not have a public policy prohibiting shark finning. If transactions involve flag states that absolutely prohibit shark finning no policy is required. If flag state allows 5% shark fin retention, the company must have a public policy.	All	Auditor reviews company procedure for ensuring that all tuna purchases have come from a company that has a public policy prohibiting shark finning. For flag states that do have an absolute shark finning prohibition, auditor will review all publicly available material to ensure no shark finning has taken place. Traceability exercises by transaction or can code to PVR vessels or proof of compliance verifies the system.	Obs	<p>Unable to verify if vessels not listed on PVR have public shark finning policy.</p> <p>Vessel RRCS-9 from traceback is registered on PVR, and no longer has pending compliance on shark finning. However, it has red marks for Active Region/Authorized and for Skipper Training.</p> <p>The vessel Genevieve is not listed on PVR. Could not find evidence of shark finning policy</p>	On 8/3 Celebes stated that future purchases will be from PVR vessels only. Therefore, going forward, Celebes must check that all vessels they purchase from have a green check for shark finning policy at the time of purchase.
3.3	Full Retention of Tunas	All purse seine caught tuna (skipjack, yellowfin and bigeye) retained onboard, except those unfit for human consumption as defined, or when in the final set of a trip, there is insufficient well space to accommodate all fish caught in that set. If the	All Purse Seine	Verify that the company sources tuna from vessels that practice full retention of tunas. Auditor checks PVR. For vessels not listed on the PVR, company provides evidence of vessel policy or RFMO requirement regarding full retention	Minor	<p>WCPFC RFMO requires full tuna retention. Most vessels registered on WCPFC. However, some vessels as identified in CM 1.1 are not registered.</p> <p>No evidence of Full tuna retention policy was</p>	Provide full retention policies for vessels not listed on the PVR and RRCS 9.

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		vessel fishes in areas where full retention is mandatory, no further policy is needed. If RFMO does not require full retention, vessel must have documented and implemented policy in accordance with this conservation measure.		of tunas.		<p>found for these vessels</p> <p>Unable to find information of system to ensure all purchase are from vessels that have full tuna retention policy</p> <p>Unable to find evidence of compliance of full tuna retention policy of vessel from traceback Genevieve.</p>	
3.4	Skipper Best Practices	Unless exempt per Conservation Measure 8.1, skipper [1] has attended an ISSF Skippers Workshop in person, has viewed the Skippers Workshop video online, or has reviewed the Skippers Guidebook. The workshop video is located at this link . The online guidebook is located at www.issfguidebooks.org .	All Purse Seine	Verify that the company has purchased tuna only from vessels with skippers that have completed ISSF best practices education. Auditor checks PVR and ISSF list of individuals who have attended Skippers Workshops or have certified that they read the online Skippers Guidebook or viewed the online Skippers Workshop video; or the PC may provide evidence of skipper review of guidebook, video, including list of skippers, method of review and dates of completion.	Obs	<p>Unable to find evidence of skippers training for vessels not listed on PVR</p> <p>Unable to find evidence of compliance of skipper best practice compliance of vessel from traceback Genevieve and RRCS-9 (listed on PVR but received a red mark for this CM)</p>	On 8/3 Celebes stated that future purchases will be from PVR vessels only. Therefore, going forward, Celebes must check that all vessels they purchase from have a green check for skipper best practices at the time of purchase. On 8/18, skipper training evidence was received for the following vessels: Cherry Blossom, Alpine Rose, Red Robin 888.

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4.1	Unique Vessel Identifiers - IMO	All purchases must be from vessels with an IMO UVI number if the vessel is capable of being registered by IMO.	All	Auditor reviews company system to ensure vessel purchases meet this criterion. A sample of non-PVR purchases will be reviewed to assess whether the company system is adequate to ensure that non-PVR vessels meet this requirement.	Obs	<p>Unable to find information of system to ensure all purchase are from vessels that have IMO.</p> <p>Vessel from traceback registered on PVR and have IMO</p>	On 8/3 Celebes stated that future purchases will be from PVR vessels only. Therefore, going forward, Celebes must check that all relevant vessels that they purchase from have an IMO number at the time of purchase.
4.2	Purse Seine Unique Vessel Identifiers	All purse seine vessels with which the company transacts in tuna, and which are not able to receive an IMO UVI number, must have a TUVI issued by CLAV http://www.tuna-arg.org/GlobalTVR.htm or ISSF http://issf-foundation.org/download-monitor-demo/download-info/uvi-and-imo-number-instructions/ unless exempt per Conservation Measure 8.1.	All Purse Seine	Auditor reviews company method to ensure that all vessel purchases meet this criterion. A sample of non-PVR purchases will be reviewed to assess whether the company system is adequate to ensure that non-PVR vessels also meet this requirement.	Obs	<p>Unable to find information of system to ensure all purchase are from vessels that have UVI</p> <p>Company submitted ISSF UVI application form for a number of vessels</p> <p>Vessels from traceback have ISSF UVI.</p>	On 8/3 Celebes stated that future purchases will be from PVR vessels only. Therefore, going forward, Celebes must check that all relevant vessels that they purchase from have an UVI number at the time of purchase.

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4.3(a)	Observer Coverage	Evidence of 100% observer coverage (human or electronic) unless exempt or prevented by force majeure. The data collected by the observer must be made available to the flag state authorities and, if appropriate, to the RFMO, in the format required by the flag state (and RFMO). In case the flag state (or RFMO) does not accept the data, the vessel owner must store data for at least three years from the end of the trip. At a minimum, data to be made available are those fields required by the flag state for vessel logbooks.	Large Purse Seine	Auditor assesses company system for observer coverage of controlled vessels, company data submission to RFMOs and RFMO committee meetings and compliance reports, etc. For non-PVR vessel purchases, the PC provides details of agreement with observer provider (human or electronic) for 100% coverage. If RFMO requires 100% observer coverage, no further evidence is required.	Obs	<p>Unable to find information of system to ensure all purchase are from vessels that have 100% observer coverage.</p> <p>Note WCPFC requires 100% observer coverage. However, some vessels were not listed on this RFMO (see C1.1)</p> <p>Vessels from traceback RRCS9 listed on PVR but has red cross on this CM</p>	On 8/3 Celebes stated that future purchases will be from PVR vessels only. Therefore, going forward, Celebes must check that all relevant vessels that they purchase from have a green check for observer coverage at the time of purchase.
5.2	Illegal, Unreported and Unregulated (IUU) Product Response	No IUU purchases. If IUU found, company must withdraw these products from the marketplace.	All All Purse Seine	<p>Auditor reviews company recall procedure for presence of IUU policy, then reviews company purchases and compares to RFMO IUU lists.</p> <p>Company initiates market withdrawal of IUU products.</p>	Minor	No evidence of recall procedure was found to withdraw products if IUU is identified. N/A	Provide evidence of procedure to withdraw products if IUU identified

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7.1	Registration of Controlled Vessels	Register all controlled purse seine vessels on the PVR.		Auditor will obtain list of controlled vessels from company and compare to PVR. Information may also come from industry and media sources.	Ok	Company did not provide list of controlled vessels. and/or indicate that it did not own or control any vessels	On 8/3 company stated no controlled vessels.