

The following information is based on data provided by the company; it has been independently audited for completeness and accuracy (Pursuant to stated ISSF guidelines).



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ISSF Participating Company:

Chotiwat Manufacturing Co., LTD

Update of Compliance Status issued on:

July 13, 2016 for activities in 2015

Compliance Snapshot			
Conservation Measure	Current	2015	2014
1.1 RFMO Authorized Vessel Record	OK	MINOR	MAJOR
1.2 RFMO Participation	OK	OK	MINOR
2.1 Product Traceability	OK	OK	OK
2.2 Quarterly Data Submission to RFMO	OK	MINOR	MINOR
3.1(a) Shark Finning Policy	OK	OK	OK
3.1(b) Prohibition of Transactions with Shark Finning Vessels	OK	OK	OK
3.1 (c) Prohibition of Transactions with Companies without a Public Policy	OK	MINOR	OK
3.2 Large-scale Pelagic Driftnets	OK	OK	OK
3.3 Full Retention of tunas	OK	MINOR	MINOR
3.4 Skippers Best Practices	OK	MINOR	MINOR
4.1 UVI-IMO	OK	OK	OBS
4.2 Purse Seine Unique Vessel Identifiers	OK	MINOR	OBS
4.3(a) Observer Coverage	OK	OK	MINOR
4.4(a) Transshipment	OK	OK	OK
5.1 IUU Fishing	OK	OK	OBS
5.2 IUU Product Response	OK	OK	MINOR
6.1 Transaction Ban for LPS vessels not Actively Fishing for Tuna on Dec. 31, 2012	OK	OK	OK
6.2(a) 2.a-d and 6.3(a) Requirements for Inclusion in Large-scale Purse Seine Vessels	N/A	N/A	N/A
7.1 Registration of Controlled Vessels	OK	OK	N/A
8.1 Exemption for Very Small Purse Seine Vessels	N/A	N/A	N/A

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Detail of Conservation Measure and Corrective Action

CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
1.1	Tuna RFMO Authorized Vessel Record	All purchases must be from vessels listed on the authorized vessel record of the RFMO governing the ocean area in which the tuna was caught, at the time of the fishing trip, so long as the vessel is of a size subject to listing in the RFMO authorized vessel record. For any purchases from non-PVR vessels, maintain a system check and approve vessel listing.	All	Auditor reviews company system to ensure that purchases are from properly listed vessels. Traceability exercises, reviewing RFMO vessel records and checking ocean areas where vessels are fishing verifies the system.	OK OK	The company has an adequate system to ensure fish purchased come from vessels listed in an RFMO. The company checks documents, PVR database and RFMO database to ensure vessels are registered. If vessel is not registered, then the supplier should provide fish from other vessels that is in RFMO or PVR list. All vessels from traceback listed on PVR except for S. Sinsombat 15. No evidence of RFMO registration was found for this vessel	Company states that only Tongol tuna is purchased from the Sinsombat. Therefore, this vessel is outside the scope of the audit.
2.2	Quarterly Data Submission to RFMO	Send information as described in the measure to RFMO scientific bodies for each quarter (Q1-Q3) by the end of the month following each quarter.	All	Auditor checks that information has been sent by companies to RFMO for all purchases.	OK OK	RFMO data was sent to relevant RFMOs on: 5/3/2015 (QTR1); 7/10/2015 (QTR 2); 10/24/2015 (QTR 3) Incorrect IMO numbers were recorded in the	None required.

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						RFMO reports for Solomon Jade, Ocean Encounter, Zhong Tai #1 and Queen Mary	Training conducted, NC is considered closed.
3.1(c)	Prohibition of Transactions with Companies without a Public Policy Prohibiting Shark Finning	No transactions with companies that do not have a public policy prohibiting shark finning. If transactions involve flag states that absolutely prohibit shark finning no policy is required. If flag state allows 5% shark fin retention, the company must have a public policy.	All	Auditor reviews company procedure for ensuring that all tuna purchases have come from a company that has a public policy prohibiting shark finning. For flag states that do have an absolute shark finning prohibition, auditor will review all publicly available material to ensure no shark finning has taken place. Traceability exercises by transaction or can code to PVR vessels or proof of compliance verifies the system.	OK	The company has a shark finning policy http://www.chotiwat.com/files/standard/shark_finning.pdf where it is stated that will only buy from companies that have published policy prohibiting shark finning.	
					OK	Some of the vessels in PVR have the shark finning criteria as currently pending verification or further action. (Purchases from a vessel "in process" may result in a non-conformance finding for an ISSF Participating Company during a subsequent MRAG audit unless the purchaser obtains proof of implementation directly from the vessel)	Chotiwat provided evidence of print-out from PVR with positive compliance for shark finning policy.
					OK	Unable to verify if vessels not listed PVR had anti shark finning	Company provided evidence of

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					OK	<p>policy. New Zealand has a policy for shark finning but does not cover all species. Thus public policy of the company is needed.</p> <p>Could not find evidence of shark finning policy for the vessel Sinsombat 15 from traceback</p>	<p>policies against shark finning for these vessels.</p> <p>Company states that only Tongol tuna is purchased from the Sinsombat. Therefore, this vessel is outside the scope of the audit.</p>
3.3	Full Retention of Tunas	All purse seine caught tuna (skipjack, yellowfin and bigeye) retained onboard, except those unfit for human consumption as defined, or when in the final set of a trip, there is insufficient well space to accommodate all fish caught in that set. If the vessel fishes in areas where full retention is mandatory, no further policy is needed. If RFMO does not require full retention, vessel must have documented and implemented policy in accordance with this conservation measure.	All Purse Seine	Verify that the company sources tuna from vessels that practice full retention of tunas. Auditor checks PVR. For vessels not listed on the PVR, company provides evidence of vessel policy or RFMO requirement regarding full retention of tunas.	OK OK	<p>Vessels not listed on the PVR are registered in WCPFC that has a full retention of tuna policy</p> <p>Could not find full retention policy for traceback vessel Sinsombat 15</p>	<p>Company states that only Tongol tuna is purchased from the Sinsombat. Therefore, this vessel is outside the scope of the audit.</p>
3.4	Skipper Best Practices	Unless exempt per	All	Verify that the company	OK	The San Nikunau is not	Chotiwat provided

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		Conservation Measure 8.1, skipper [1] has attended an ISSF Skippers Workshop in person, has viewed the Skippers Workshop video online, or has reviewed the Skippers Guidebook. The workshop video is located at this link . The online guidebook is located at www.issfguidebooks.org .	Purse Seine	has purchased tuna only from vessels with skippers that have completed ISSF best practices education. Auditor checks PVR and ISSF list of individuals who have attended Skippers Workshops or have certified that they read the online Skippers Guidebook or viewed the online Skippers Workshop video; or the PC may provide evidence of skipper review of guidebook, video, including list of skippers, method of review and dates of completion.	OK	on the PVR and we could not confirm if skipper training had been provided. Several other vessels have recently been registered with the PVR, but skipper training is reflected as pending confirmation. This includes Fong Seong 696, Fong Seong 668 and Fong Seong 666 Could not find evidence of training for the skipper of traceback vessel Sinsombat 15	evidence of skipper training for these vessels. Company states that only Tongol tuna is purchased from the Sinsombat. Therefore, this vessel is outside the scope of the audit.
4.2	Purse Seine Unique Vessel Identifiers	All purse seine vessels with which the company transacts in tuna, and which are not able to receive an IMO UVI number, must have a TUVI issued by CLAV http://www.tuna-org.org/GlobalTVR.htm or ISSF http://issf-foundation.org/download-monitor-demo/download-info/uvi-and-imo-number-instructions/ unless exempt per Conservation Measure	All Purse Seine	Auditor reviews company method to ensure that all vessel purchases meet this criterion. A sample of non-PVR purchases will be reviewed to assess whether the company system is adequate to ensure that non-PVR vessels also meet this requirement.	OK	Company has policy to buy from brokers who have all vessels listed on an RFMO vessel record and with IMO UVI number. The company ensures this by checking that vessels are in RFMO or UVI or PVR list, PVR:ss-foundation.org/knowledgetools/databases/proactive-vessel-register/	Company states

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		8.1.			OK	No evidence of ISSF UVI was found for Sinsombat 15.	that only Tongol tuna is purchased from the Sinsombat. Therefore, this vessel is outside the scope of the audit.