

ISSF Annual Conservation Measures & Commitments
Compliance Report

June 2014

Overview

The following report details the progress being made by ISSA participating companies in achieving full compliance with all ISSF Conservation Measures and Commitments in force as of 31 December 2013.

Audit Process

The results included in this document are the result of an independent, third-party audit of data provided directly to the auditor by ISSA participating companies. Audits were conducted by the Law Offices of Mark V. Matera in accordance with the established [Audit Protocols](#).

Tracking Continuous Improvement

This report is designed to communicate both the level of compliance by individual company, as well as by each conservation measure | commitment. Where less than full compliance is achieved, remediation initiatives have been developed as a method of improving compliance moving forward. These remediation initiatives are noted on pages 5-6. Individual companies are not identified in this report.

This document will be updated periodically throughout the year to reflect any improvements in compliance by ISSA participating companies with conservation measures and commitments in force as of 31 December 2013. Any conservation measures in-force beginning in 2014 will not be reported until ISSF's 2015 annual report.

ALL-ISSA PARTICIPATING COMPANY PUBLIC REPORT

The following information is based on data provided by the companies; it has been independently audited for completeness and accuracy (pursuant to stated ISSF guidelines)

Compliance Overview

Eleven of 21 ISSA participating companies were in compliance with all conservation measures and commitments in force as of 31 December 2013. Ten companies did not demonstrate full compliance with at least one conservation measure.

11 of 21 Companies Fully Compliant, All Measures

Conservation measures and commitment where there was less than full compliance were:

- No transactions with companies that carry out shark finning or do not have a policy prohibiting shark finning – *Six companies*
- Skippers are ISSF trained: participate in training on best practice – *Ten companies*

Remediation strategies have been developed to address all areas of less than full compliance. See pages 5-6.

Level of Individual ISSA Company Compliance

Level of Compliance (17 Audited Commitments)	Number of ISSA Companies
17 of 17 Commitments Met	11 of 21 Companies
16 of 17 Commitments Met	4 of 21 Companies
15 of 17 Commitments Met	6 of 21 Companies

ISSA Company Compliance by Conservation Measure



100% Compliance (all companies)



Not All Companies Compliant

Commitment	ISSA Companies
No transactions in tuna caught by vessels on RFMO IUU list	21 of 21 Companies Compliant
Provide data to RFMO scientific bodies	21 of 21 Companies Compliant
Warrant that tuna products comply with ISSF resolution 09-01 – IUU Fishing – & upon discovery of a violation, will withdraw product from the marketplace	21 of 21 Companies Compliant
Trace tuna products from capture to plate	21 of 21 Companies Compliant
No transactions in tuna caught by vessels not on the authorized vessel record of the RFMO	21 of 21 Companies Compliant
No transactions in tuna caught by vessels subject to RFMO listing & capable of being registered by IMO that have not registered with IMO and received an IMO UVI	21 of 21 Companies Compliant
No transactions in tuna caught by large-scale pelagic driftnets	21 of 21 Companies Compliant
Establish & publish company policy prohibiting shark finning	21 of 21 Companies Compliant
No transactions with companies that do not have a policy prohibiting shark finning	15 of 21 Companies Compliant
No transactions with vessels that have been determined to have been conducting shark finning for a period of 2 years after the conclusion of the investigation making such determination, provided there are no further incidents	21 of 21 Companies Compliant
No purse seine vessel transshipment at sea, whether high seas, EEZ, territorial waters or archipelagic water	21 of 21 Companies Compliant
Must be flagged to a member or cooperating non-member of the relevant RFMO or have applied for such status	21 of 21 Companies Compliant
Must have a UVI regardless of RFMO vessel registry listing requirements such as size and fishing area	21 of 21 Companies Compliant
Purse Seine skippers are ISSF trained on best practice	11 of 21 Companies Compliant
Observer on at least one trip for large scale purse seine vessels during 2013	21 of 21 Companies Compliant
Retain all tuna caught, except those unfit for human consumption, on at least one trip with an observer onboard in 2013 and on all trips beginning in 2014	Auditing Deferred (see page 6)
No transactions in skipjack, bigeye & yellowfin tuna caught by large scale purse seiners that are not actively fishing for tuna as of 31-Dec-12 (except for those vessels under contract for construction on or before 31-Dec-12 with construction completed by 30-Jun-15)	21 of 21 Companies Compliant
Submit CEO Certificate of Compliance	21 of 21 Companies Compliant

Remediation Initiatives

The third-party, independent audit shows that full compliance was not achieved on two of seventeen audited conservation measures and commitments. As a result, the following remediation initiatives will be implemented in 2014 to improve compliance.

Conservation Measure | Commitment

No transactions with vessel companies that carry out shark finning or do not have a policy prohibiting shark finning

Number of Companies Not Fully Compliant (with demonstrating satisfactorily that their supplying fishing companies have an established policy prohibiting shark finning) – **Six**

Number of Companies Not Fully Compliant (with no transactions with vessels that carry out shark finning) – **Zero**

Key compliance criteria included:

- Proof of a vessel owning-company policy for its fleet of vessels meeting the shark finning conservation measure was accepted.

Areas for improvement identified in the audit process include the following:

- Participating Companies will be required to put more effort into obtaining proof that the anti-finning policy is in effect by documentation of the policy and appropriate posting in relevant languages.

Conservation Measure | Commitment

Skippers are ISSF trained: participate in annual training on best practice

Number of Companies Not Fully Compliant – **Ten**

Key compliance criteria included:

- Compliance based on evidence of completion of either ISSF sponsored skipper workshops (from workshop attendance reports), or self-confirmation of completion of an ISSF-developed Internet resource (either .pdf based or iPad) materials.

Areas for improvement identified in the audit process include the following:

- Enhanced online ISSF training materials are being finalized, to include identification of skipper accessing materials. More easily accessible materials will facilitate improved compliance.
- Automated verification of accessing the online training materials will improve monitoring of compliance by the Participating Companies.

NOTE: Deferred Conservation Measure

Retain all tuna caught, except those unfit for human consumption, on at least one trip with an observer onboard in 2013 and on all trips beginning in 2014

Compliance audit was deferred until appropriate protocol can be developed.