

Update to ISSF Conservation Measures & Commitments Compliance Report

2017 Audit Period, published:
November 2018

Overview

The following report details the progress being made by ISSF participating companies in achieving full compliance with all ISSF Conservation Measures and Commitments in force as of October 1, 2017.

This report updates the [ISSF Annual Conservation Measures & Commitments Compliance Report](#) published in June 2018 and reflects efforts by ISSF participating companies to improve on those instances where less than full compliance was achieved. Remedial audits were conducted between March and August 2018 against measures for companies with minor nonconformance or major nonconformance. Improvements in an individual company's compliance have been reviewed and documented by the third-party independent auditor and are included in an updated compliance status report for that company, [available on the ISSF website](#).




Per the [ISSF Strategic Plan](#):

- ISSF tracks adherence by ISSF participating companies with all ISSF conservation measures.
- ISSF publicly reports on ISSF participating company compliance on at least an annual basis.
- Verification of ISSF participating company compliance will be conducted by external, non-ISSF resources.
- Where less than full compliance is achieved, corrective action will be required as described in the [ISSA Compliance Policy](#).

Audit Process

ISSF engaged MRAG Americas to conduct an audit of the performance of the participating companies against the conservation measures and commitments in force in 2017. MRAG developed the following categories of conformance that provide interested stakeholders with an explanation of conformance by individual measure:¹

CATEGORIES OF COMPLIANCE

 Conformance	Company can provide evidence of full compliance.
 Minor Non-Conformance	Company does not fully comply with a particular conservation measure or commitment, but this does not compromise the integrity of ISSF initiatives. <i>Example: Participating Company submitted RFMO data beyond the stated deadline.</i>
 Major Non-Conformance	Company does not comply with a particular conservation measure or commitment, and this compromises the integrity of ISSF initiatives. <i>Example: Participating Company did not submit RFMO data.</i>

¹ The auditors may also make a finding of "Observation" with regard to a particular conservation measure when a Participating Company is in conformance with that measure, but there is a high risk that a non-conformance could occur inadvertently without implementing preventive action. In addition, in some circumstances where a particular conservation measure is not applicable to a Participating Company, the audit report will contain an "N/A" finding. Both of these findings are considered to be a Conformance as described above for reporting purposes.

The results included in this document are based on the independent, third-party audit of data provided directly to the auditor by ISSF participating companies, conducted in accordance with the established Audit Protocols and the ISSA Compliance Policy, and show the status of compliance as of October 31, 2018.

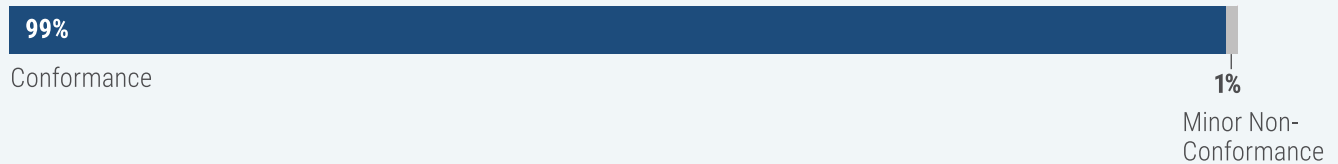
Tracking Continuous Improvement

This report details the level of compliance with each conservation measure or commitment and presents aggregate compliance by individual company. A summary view of each company's compliance status as of October 31, 2018, is presented here, and each company's full compliance audit results as reported by MRAG are [available on the ISSF website](#).

All Company Public Report

COMPLIANCE OVERVIEW

AUDIT RESULTS as Reported October 2018, Updated Results All companies; across all measures



21 of 27 Companies Fully Compliant, All Measures

Following the remediation period, 21 companies were fully compliant with all 22 measures audited, compared to 16 fully-compliant companies in the June 2018 report. Three of the conservation measures had minor non-conformances, as compared with six in June 2018. These minor non-conformances involved instances in which participating companies provided documentation showing some, but not full, compliance with a conservation measure, which in MRAG's view did not compromise the integrity of ISSF initiatives.

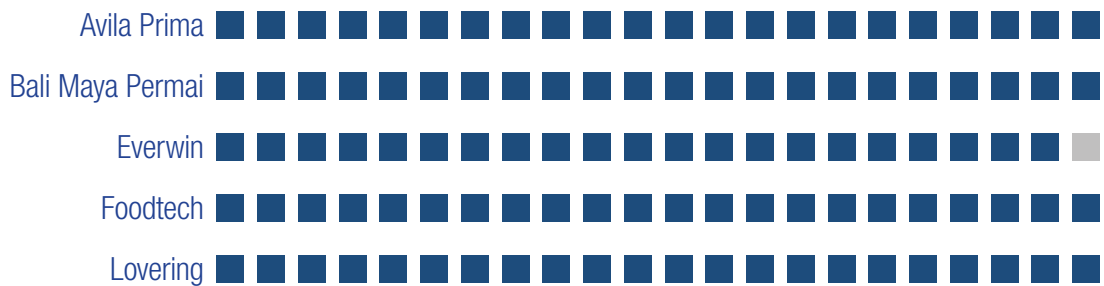
The June 2018 annual report showed that four companies had at least one major non-conformance, with a total of five major non-conformances found. MRAG reported that as of November 2018, all major non-conformances had been remediated.

*Companies are listed alphabetically.

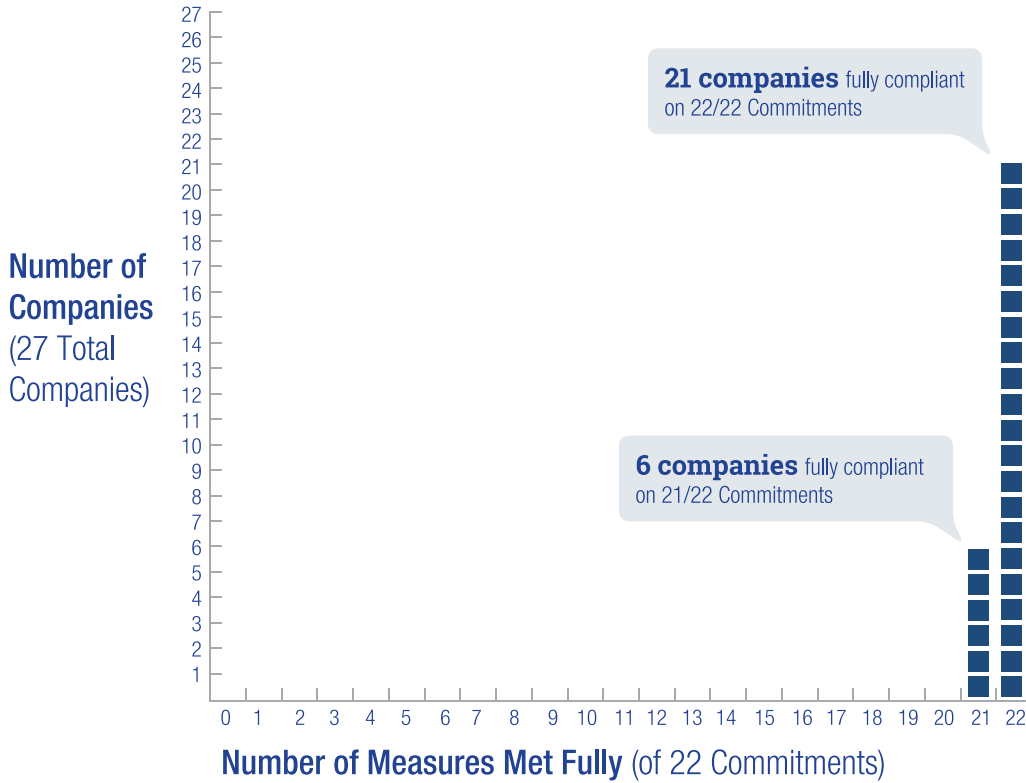
Founding and Full Members



Associate Members

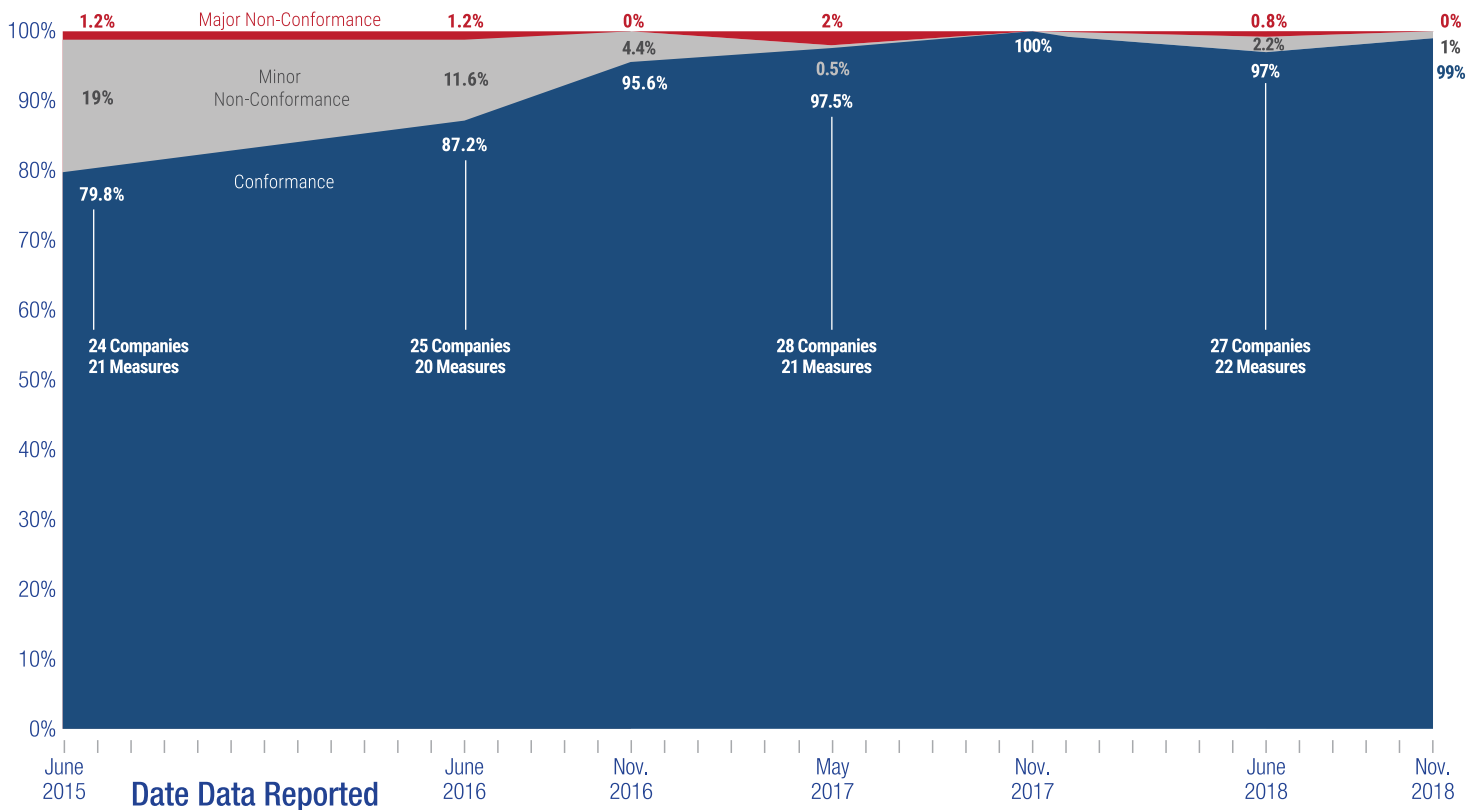


LEVEL OF COMPLIANCE by Individual ISSA Companies – Oct. 2018, Updated Audit Results



For updates on individual companies' compliance status, including remediation of any non-conformances that may be noted in this update report, visit our [audit reports page](#).


CHANGE OVER TIME IN AGGREGATE COMPLIANCE (ALL COMPANIES) – Oct. 2018, Updated Audit Results



For updates on individual companies' compliance status, including remediation of any non-conformances that may be noted in this update report, visit our [audit reports page](#).

ISSF PARTICIPATING COMPANY COMPLIANCE BY CONSERVATION MEASURE* (27 COMPANIES)

*November 2018, Updated Audit Results

	1.1 RFMO Authorized Vessel Record	All purchases must be from vessels listed on the authorized vessel record of the RFMO governing the ocean area in which the tuna was caught, at the time of the fishing trip, so long as the vessel is of a size subject to listing in the RFMO authorized vessel record.	Fully compliant: 27
	1.2 RFMO Participation	All purchases must be from vessels flagged to a member or cooperating non-member of RFMO relevant to fishing area.	Fully compliant: 27
	2.1 Product Traceability	Participating company must demonstrate ability to trace products from can code or sales invoice to vessel and trip.	Fully compliant: 27
	2.2 Quarterly Data Submission to RFMO	Send information as described in the measure to RFMO scientific bodies for each quarter by the last day of the following calendar quarter.	Fully compliant: 23 Minor non-conformance: 4
	3.1 (a) Shark Finning Policy	Company establishes and publishes policy prohibiting shark finning.	Fully compliant: 27
	3.1 (b) Prohibition of Transactions with Shark Finning Vessels	Refrain from transactions with vessels that have shark finned within two years of the product purchase date (as found by RFMO or competent national authority).	Fully compliant: 27
	3.1 (c) Prohibition of Transactions with Companies without a Public Policy	No transactions with companies that do not have a public policy prohibiting shark finning.	Fully compliant: 27
	3.2 Large-Scale Pelagic Driftnets	No transactions with vessels using large-scale pelagic driftnets.	Fully compliant: 27
	3.3 Full Retention of Tunas	All purse seine caught tuna (skipjack, yellowfin and bigeye) retained onboard, except those unfit for human consumption as defined, or when in the final set of a trip, there is insufficient well space to accommodate all fish caught in that set.	Fully compliant: 27
	3.4 Skipper Best Practices	Unless exempt per Conservation Measure 8.1, skipper has attended an ISSF Skippers Workshop in person, has viewed the Skippers Workshop video online, or has reviewed the Skippers Guidebook.	Fully compliant: 27
	NEW during this audit period 3.5 Transactions with Vessels that Use Only Non-entangling FADs	Scientific studies have shown that FADs, when constructed with materials such as loose old netting, can entangle vulnerable species such as sharks. While some RFMOs have adopted a transition from traditional FADs to non-entangling FADs, others have not.	Fully compliant: 26 Minor non-conformance: 1

	4.1 Unique Vessel Identifiers – IMO	All purchases must be from vessels with an IMO UVI number if the vessel is capable of being registered by IMO.	Fully compliant: 27
	4.2 Purse Seine Unique Vessel Identifiers	All purse seine vessels with which the company transacts in tuna, and which are not able to receive an IMO UVI number, must have a TUVI issued by CLAV or ISSF.	Fully compliant: 26 Minor non-conformance: 1
	4.3 (a) Observer Coverage	Evidence of 100% observer coverage (human or electronic) on large-scale purse seine vessels unless exempt or prevented by force majeure.	Fully compliant: 27
	4.4 (a) Transshipment	No transactions in tuna where transportation included transshipment, except when exempt.	Fully compliant: 27
	5.1 IUU Fishing	No transactions with vessels on any tuna RFMO IUU vessel list.	Fully compliant: 27
	5.2 IUU Product Response	No IUU purchases. If IUU is found, company must withdraw these products from the marketplace.	Fully compliant: 27
	6.1 Transaction Ban for Large Scale Purse Seine Vessels Not Actively Fishing for Tuna as of 12/31/2012	Demonstrate that all purchases from large-scale purse seine vessels are from vessels actively fishing for tuna as of December 31, 2012 and listed on the ISSF Record of Large-Scale Purse Seine Vessels.	Fully compliant: 27
	6.2 Requirements for Inclusion in ISSF Record of Large Scale Purse Seine Vessels	Demonstrate that purchases are from large-scale purse seine vessels that meet the requirements for inclusion on the ISSF Record.	Fully compliant: 27
	7.1 Registration of Controlled Vessels	Register all controlled purse seine vessels on the PVR.	Fully compliant: 27
	7.3 Purchases from PVR Vessels	For fishing trips beginning on or after January 1, 2016, source 100% of skipjack, yellowfin and bigeye tuna caught by large-scale purse seine vessels from vessels registered in the PVR.	Fully compliant: 27
	8.1 Exemption for Very Small Purse Seine Vessels	Very small purse seine vessels (less than 30 GT) are exempted from the following ISSF Conservation Measures: 3.4 Skipper Best Practices 4.2 Purse Seine Unique Vessel Identifiers 4.4(a) Transshipment	Fully compliant: 27

