

# Update to ISSF Conservation Measures & Commitments Compliance Report

2019 Audit Period, published:  
**November 2020**

## Overview

The following report details the progress being made by ISSF participating companies in achieving full compliance with all ISSF Conservation Measures and Commitments in force as of October 1, 2019.

This report updates the [ISSF Annual Conservation Measures & Commitments Compliance Report](#) published in April 2020 and reflects efforts by ISSF participating companies to improve on those instances where less than full compliance was achieved. Remedial audits were conducted between March and August 2020 against measures for companies with minor nonconformance or major nonconformance. Improvements in an individual company's compliance have been reviewed and documented by the third-party independent auditor and are included in an updated compliance status report for that company, [available on the ISSF website](#).




Per the [ISSF Strategic Plan](#):

- ISSF tracks adherence by ISSF participating companies with all ISSF conservation measures.
- ISSF publicly reports on ISSF participating company compliance on at least an annual basis.
- Verification of ISSF participating company compliance will be conducted by external, non-ISSF resources.
- Where less than full compliance is achieved, corrective action will be required as described in the [ISSA Compliance Policy](#).

## Audit Process

ISSF engaged MRAG Americas to conduct an audit of the performance of the participating companies against the conservation measures and commitments in force in 2019. MRAG developed the following categories of conformance that provide interested stakeholders with an explanation of conformance by individual measure:<sup>1</sup>

## CATEGORIES OF COMPLIANCE

 <b>Conformance</b>	Company can provide evidence of full compliance.
 <b>Minor Non-Conformance</b>	Company does not fully comply with a particular conservation measure or commitment, but this does not compromise the integrity of ISSF initiatives. <i>Example: Participating Company submitted RFMO data beyond the stated deadline.</i>
 <b>Major Non-Conformance</b>	Company does not comply with a particular conservation measure or commitment, and this compromises the integrity of ISSF initiatives. <i>Example: Participating Company did not submit RFMO data.</i>

<sup>1</sup> The auditors may also make a finding of "Observation" with regard to a particular conservation measure when a Participating Company is in conformance with that measure, but there is a high risk that a non-conformance could occur inadvertently without implementing preventive action. In addition, in some circumstances where a particular conservation measure is not applicable to a Participating Company, the audit report will contain an "N/A" finding. Both of these findings are considered to be a Conformance as described above for reporting purposes.

The results included in this document are based on the independent, third-party audit of data provided directly to the auditor by ISSF participating companies, conducted in accordance with the established Audit Protocols and the ISSA Compliance Policy, and show the status of compliance as of October 2020.

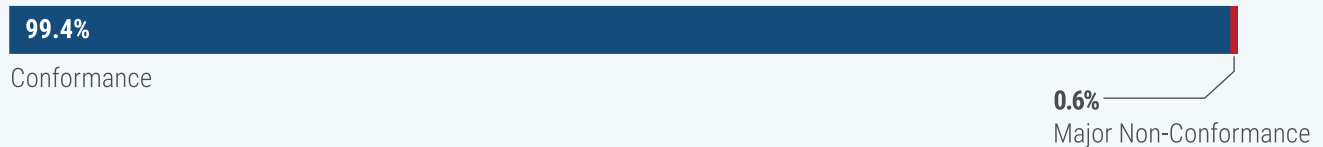
## Tracking Continuous Improvement

This report details the level of compliance with each conservation measure or commitment and presents aggregate compliance by individual company. A summary view of each company's compliance status as of 2020 is presented here, and each company's full compliance audit results as reported by MRAG are [available on the ISSF website](#).

# All Company Public Report

## COMPLIANCE OVERVIEW

### AUDIT RESULTS as Reported October 2020, Updated Results All companies; across all measures



24 of 25 Companies Fully Compliant, All Measures

Following the remediation period, 24 companies were fully compliant with all 27 measures audited, compared to 22 in the April 2020 report. During this reporting period, four of the conservation measures had major non-conformances. There were no minor non-conformances.

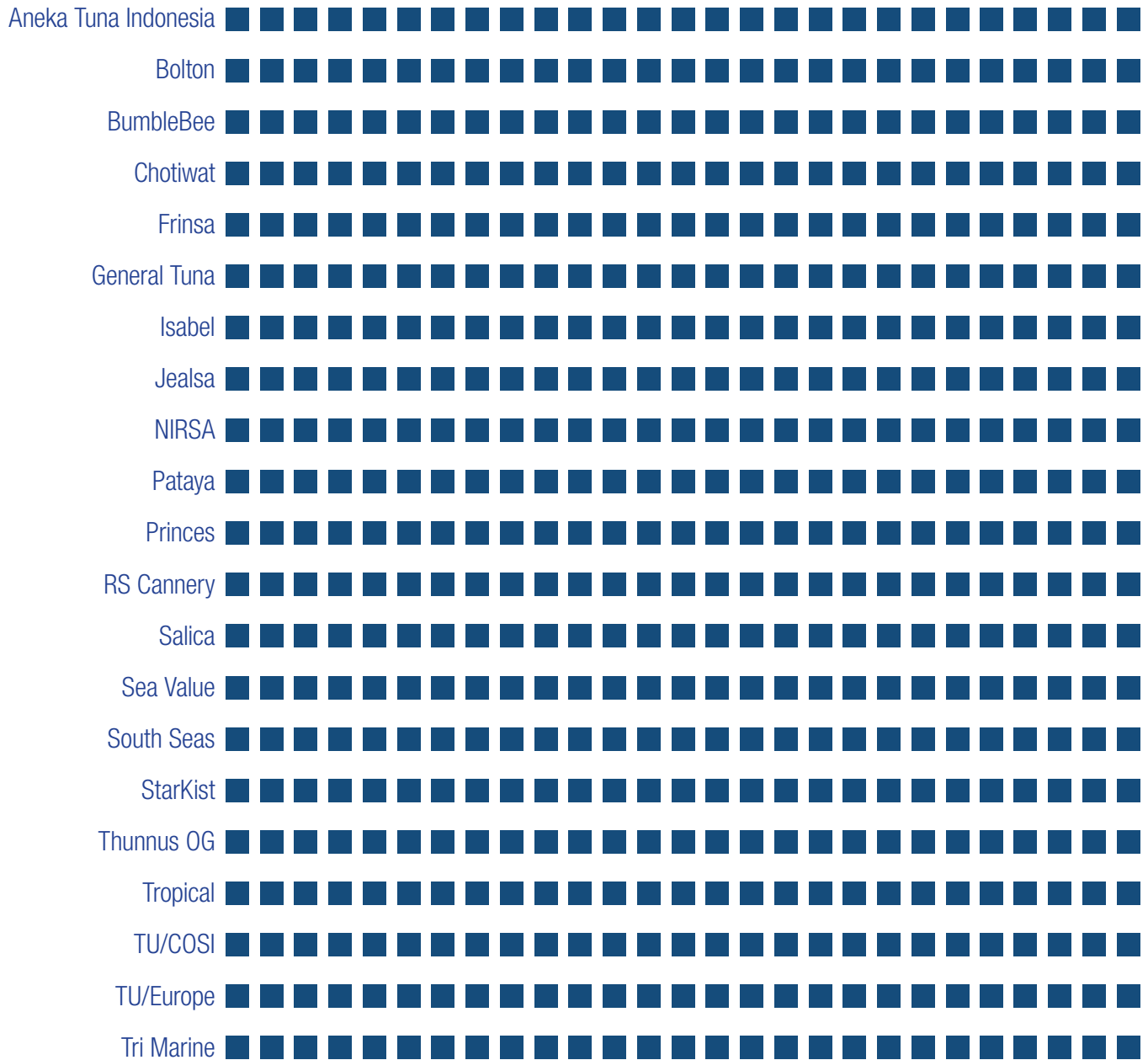
The April 2020 annual report showed that one company had four major non-conformances, and two companies had one minor non-conformance each.

## CONSERVATION MEASURES AND COMMITMENTS WHERE MAJOR NON-CONFORMANCE OCCURRED

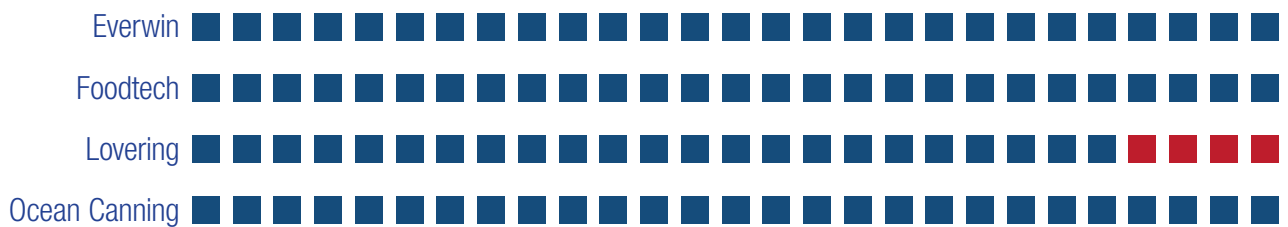
	<b>6.1 Transaction Ban for LSPS Vessels Not Actively Fishing for Tuna as of 12/31/2012</b>	Demonstrate that all purchases from large-scale purse seine vessels are from vessels actively fishing for tuna as of December 31, 2012 and listed on the ISSF Record of Large-Scale Purse Seine (LSPS) Vessels.	<b>company with major non-conformance</b>
	<b>6.2 Requirements for Inclusion in ISSF Record of LSPS Vessels</b>	Demonstrate that purchases are from large-scale purse seine vessels that meet the requirements for inclusion on the ISSF Record.	<b>company with major non-conformance</b>
	<b>7.2 Threshold Requirement for PVR Listing</b>	All large-scale purse seine vessels owned by the same business organization shall be in demonstrated compliance with, or otherwise exempted from, Section 6–Capacity.	<b>company with major non-conformance</b>
	<b>7.3 Purchases from PVR Vessels</b>	For fishing trips beginning on or after January 1, 2016, source 100% of skipjack, yellowfin and bigeye tuna caught by large-scale purse seine vessels from vessels registered in the PVR.	<b>company with major non-conformance</b>

\*Companies are listed alphabetically.

Founding and Full Members

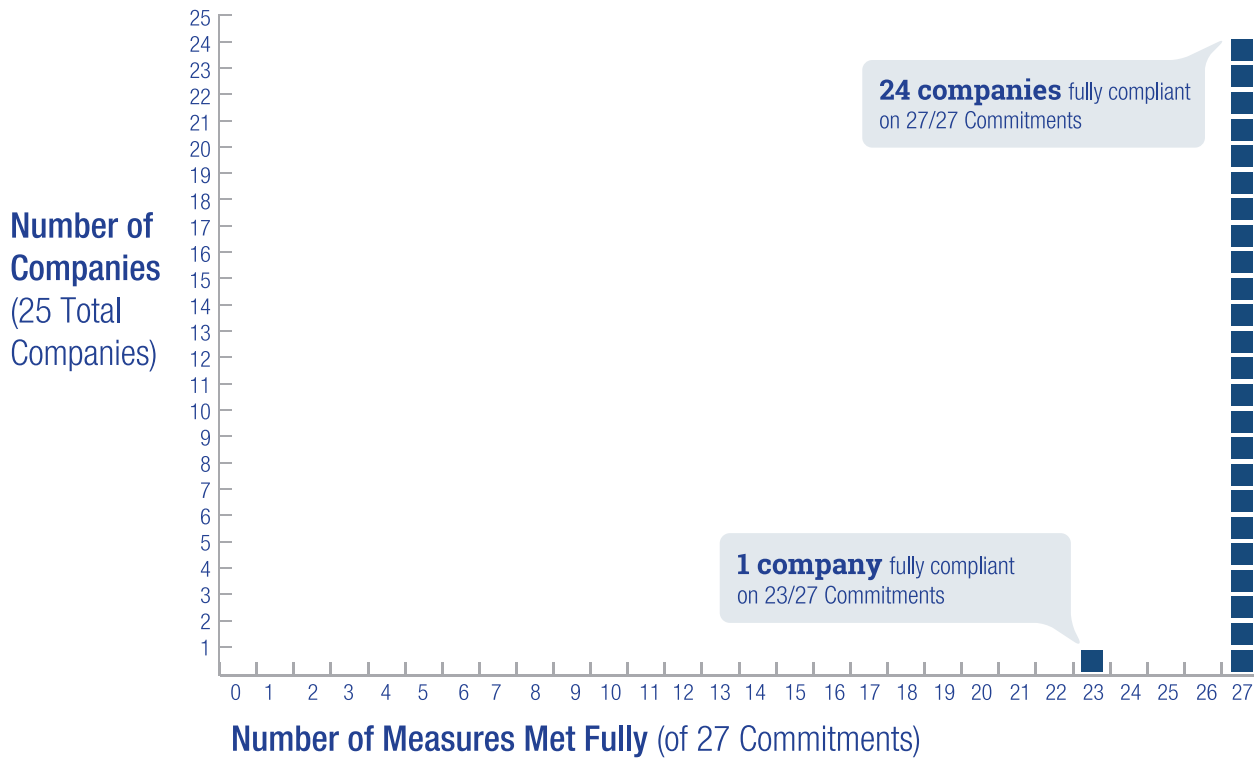


Associate Members



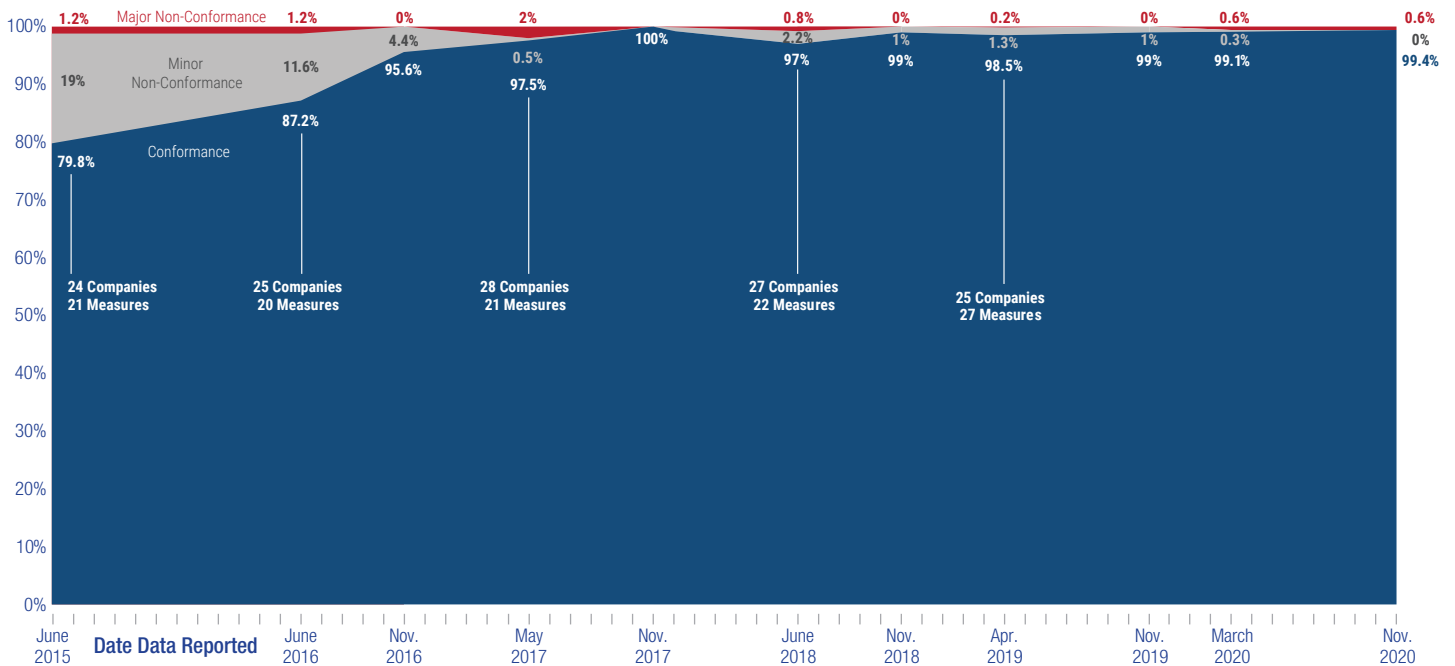
For updates on individual companies' compliance status, including remediation of any non-conformances that may be noted in this update report, visit our [audit reports page](#).

## LEVEL OF COMPLIANCE by Individual ISSA Companies – Oct. 2020, Updated Audit Results



For updates on individual companies' compliance status, including remediation of any non-conformances that may be noted in this update report, visit our [audit reports page](#).

## CHANGE OVER TIME IN AGGREGATE COMPLIANCE (ALL COMPANIES) – Oct. 2020, Updated Audit Results



For updates on individual companies' compliance status, including remediation of any non-conformances that may be noted in this update report, visit our [audit reports page](#).

## ISSF PARTICIPATING COMPANY COMPLIANCE BY CONSERVATION MEASURE\* (25 COMPANIES)

\*October 2020, Updated Audit Results / Full text of each conservation measure is on the ISSF website.

	<b>1.1 RFMO Authorized Vessel Record</b>	All purchases must be from vessels listed on the authorized vessel record of the RFMO governing the ocean area in which the tuna was caught, at the time of the fishing trip, so long as the vessel is of a size subject to listing in the RFMO authorized vessel record.	<b>Fully compliant: 25</b>
	<b>1.2 RFMO Participation</b>	All purchases must be from vessels flagged to a member or cooperating non-member of RFMO relevant to fishing area.	<b>Fully compliant: 25</b>
	<b>2.1 Product Traceability</b>	Participating company must demonstrate ability to trace products from can code or sales invoice to vessel and trip.	<b>Fully compliant: 25</b>
	<b>2.2 Quarterly Data Submission to RFMO</b>	Send information as described in the measure to RFMO scientific bodies for each quarter by the last day of the following calendar quarter.	<b>Fully compliant: 25</b>
	<b>2.3 Product Labeling by Species and Area of Capture</b>	On all product labeling, or through a publicly available web-based system, by product, for all branded tuna products: 1. Identify the species of tuna contained in the product. 2. Identify the ocean of capture for the tuna contained in the product.	<b>Fully compliant: 25</b>
	<b>3.1 (a) Shark Finning Policy</b>	Company establishes and publishes policy prohibiting shark finning.	<b>Fully compliant: 25</b>
	<b>3.1 (b) Prohibition of Transactions with Shark Finning Vessels</b>	Refrain from transactions with vessels that have shark finned within two years of the product purchase date (as found by RFMO or competent national authority).	<b>Fully compliant: 25</b>
	<b>3.1 (c) Prohibition of Transactions with Companies without a Public Policy</b>	No transactions with companies that do not have a public policy prohibiting shark finning.	<b>Fully compliant: 25</b>
	<b>3.2 Large-Scale Pelagic Driftnets</b>	No transactions with vessels using large-scale pelagic driftnets.	<b>Fully compliant: 25</b>
	<b>3.3 Full Retention of Tunas</b>	All purse seine caught tuna (skipjack, yellowfin and bigeye) retained onboard, except those unfit for human consumption as defined, or when in the final set of a trip, there is insufficient well space to accommodate all fish caught in that set.	<b>Fully compliant: 25</b>
	<b>3.4 Skipper Best Practices</b>	Unless exempt per Conservation Measure 8.1, skipper has attended an ISSF Skippers Workshop in person, has viewed the Skippers Workshop video online, or has reviewed the Skippers Guidebook.	<b>Fully compliant: 25</b>

	<b>3.5 Transactions with Vessels that Use Only Non-entangling FADs</b>	Scientific studies have shown that FADs, when constructed with materials such as loose old netting, can entangle vulnerable species such as sharks. While some RFMOs have adopted a transition from traditional FADs to non-entangling FADs, others have not.	<b>Fully compliant: 25</b>
	<b>3.6 Transactions with Vessels Implementing Best Practices for Sharks and Sea Turtles</b>	Transactions only with those longline vessels whose owners have a policy requiring the implementation of best practices for sharks and marine turtles.	<b>Fully compliant: 25</b>
	<b>4.1 Unique Vessel Identifiers – IMO</b>	All purchases must be from vessels with an IMO UVI number if the vessel is capable of being registered by IMO.	<b>Fully compliant: 25</b>
	<b>4.2 Purse Seine Unique Vessel Identifiers</b>	All purse seine vessels with which the company transacts in tuna, and which are not able to receive an IMO UVI number, must have a TUVI issued by CLAV or ISSF.	<b>Fully compliant: 25</b>
	<b>4.3 (a) Observer Coverage</b>	Evidence of 100% observer coverage (human or electronic) on large-scale purse seine vessels unless exempt or prevented by force majeure.	<b>Fully compliant: 25</b>
	<b>4.4 (a) Transshipment</b>	No transactions in tuna where transportation included transshipment, except when exempt.	<b>Fully compliant: 25</b>
	<b>4.4 (c) Transshipment at Sea – Observer Coverage</b>	Transactions with longline vessels that conduct transshipments at sea, whether high seas, EEZ, territorial seas or archipelagic waters, only if 100% of such transshipments are observed.	<b>Fully compliant: 25</b>
	<b>5.1 IUU Fishing</b>	No transactions with vessels on any tuna RFMO IUU vessel list.	<b>Fully compliant: 25</b>
	<b>5.2 IUU Product Response</b>	No IUU purchases. If IUU is found, company must withdraw these products from the marketplace.	<b>Fully compliant: 25</b>
	<b>6.1 Transaction Ban for Large Scale Purse Seine Vessels Not Actively Fishing for Tuna as of 12/31/2012</b>	Demonstrate that all purchases from large-scale purse seine vessels are from vessels actively fishing for tuna as of December 31, 2012 and listed on the ISSF Record of Large-Scale Purse Seine Vessels.	<b>Fully compliant: 24</b> <b>Major non-conformance: 1</b>
	<b>6.2 Requirements for Inclusion in ISSF Record of Large Scale Purse Seine Vessels</b>	Demonstrate that purchases are from large-scale purse seine vessels that meet the requirements for inclusion on the ISSF Record.	<b>Fully compliant: 24</b> <b>Major non-conformance: 1</b>
	<b>7.1 Registration of Controlled Vessels</b>	Register all controlled purse seine vessels on the PVR.	<b>Fully compliant: 25</b>
	<b>7.2 Threshold Requirement for PVR Listing</b>	All large-scale purse seine vessels owned by the same business organization shall be in demonstrated compliance with, or otherwise exempted from, Section 6–Capacity.	<b>Fully compliant: 24</b> <b>Major non-conformance: 1</b>



**7.3 Purchases from PVR Vessels – Purse Seine**

For fishing trips beginning on or after January 1, 2016, source 100% of skipjack, yellowfin and bigeye tuna caught by large-scale purse seine vessels from vessels registered in the PVR.

**Fully compliant: 24**  
**Major non-conformance: 1**



**7.4 Supply and Tender Vessels**

For controlled supply or tender vessels that operate with purse seine vessels: (a) register all vessels on the PVR and maintain registration indefinitely; (b) ensure all are listed on the authorized vessel record of any RFMO governing the ocean area in which the tuna was caught; (c) ensure all have an IMO unique vessel identifier; and (d) ensure all are not listed on the IUU Vessel List of any RFMO.

**Fully compliant: 25**



**8.1 Exemption for Very Small Purse Seine Vessels**

Very small purse seine vessels (less than 30 GT) are exempted from the following ISSF Conservation Measures:  
3.4 Skipper Best Practices  
4.4(a) Transshipment

**Fully compliant: 25**

