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President: Andrew A. Rosenberg, Ph.D

## Foodtech JSC

The following information is based on data provided by the company; it has been independently audited for completeness and accuracy (Pursuant to stated ISSF guidelines).

### Updated Compliance Report (as of 17 July 2018, for activities in 2017)

I, Sitala Srisatitvatana, CEO of Foodtech, acknowledge receipt of this updated compliance report, and have taken notice of any potential non-conformances contained within.

Signature<sup>1</sup>: \_\_\_\_\_



Date: 25 JULY 2018

<sup>1</sup> Please note that ISSF mandates that you sign this report.



## Compliance Snapshot

Conservation Measure	2017 Update	2017	2016	2015 Update	2015	2014
1.1 RFMO Authorized Vessel Record	OK	OK	OK	OK	OK	MINOR
1.2 RFMO Participation	OK	OK	OK	OK	OK	OK
2.1 Product Traceability	OK	OK	OK	OK	OK	MINOR
2.2 Quarterly Data Submission to RFMO	OK	MINOR	OK	OK	MINOR	MINOR
3.1(a) Shark Finning Policy	OK	OK	OK	OK	OK	OK
3.1(b) Prohibition of Transactions with Shark Finning Vessels	OK	OK	OK	OK	OBS	OK
3.1 (c) Prohibition of Transactions with Companies without a Public Policy	OK	OK	OK	MINOR	MINOR	MINOR
3.2 Large-scale Pelagic Driftnets	OK	OK	OK	OK	OK	OK
3.3 Full Retention of tunas	OK	OK	OK	OK	MINOR	OK
3.4 Skippers Best Practices	OK	MINOR	OK	OK	OK	MAJOR
3.5 Transactions w/ Vessels that use Only Non-Entangling FADs	OK	OK				
4.1 UVI-IMO	OK	OK	OK	OK	OK	MINOR
4.2 Purse Seine Unique Vessel Identifiers	OK	OK	OK	OK	OK	OK
4.3 Observer Coverage	OK	OK	OK	OK	OK	OK
4.4 Transshipment	OK	OK	OK	OK	N/A	OK
5.1 IUU Fishing	OK	OK	OK	OK	MINOR	MINOR
5.2 IUU Product Response	OK	OK	OK	OK	MINOR	MINOR
6.1 Transaction Ban for LPS vessels not Actively Fishing for Tuna on Dec. 31, 2012	OK	OK	OK	OK	OK	OK
7.1 Registration of Controlled Vessels	N/A	N/A	N/A	N/A	N/A	N/A
7.3 Purchases from PVR Vessels	OK	OK	OK			
8.1 Exemption for Very Small Purse Seine Vessels	OK	OK	N/A	N/A	N/A	N/A

## Detail of Conservation Measure and Corrective Action

CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
2.2	Quarterly Data Submission to RFMO	a. Send information for all round fish purchases (skipjack, albacore, yellowfin, bigeye) as described in the measure to	All	Auditor checks that information has been sent by companies to RFMO for all purchases.	OK	All the RFMO reports were received on 22 November 2017. This is not in accordance with	Closed on July 17, 2018.  Company provided



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		<p>RFMO scientific bodies for each quarter by the last day of the following calendar quarter.</p> <p>b. <u>As described by ISSF</u>, for each quarter, Participating Companies are required to report (no later than the last day of the following calendar quarter) if they have no purchase of round fish (in total or from a typical RFMO region).</p> <p>c. A Participating Company that only purchases loins and finished goods from other ISSF participating companies is exempt from sending RFMO data. However, company must affirm so quarterly via an unprompted email to <a href="mailto:rfmodata@iss-foundation.org">rfmodata@iss-foundation.org</a></p>		<p>Auditor checks if PC has sent quarterly report to MRAG indicating no purchase of round fish. Auditor notes that this requirement did not come into effect until October 2016, therefore if company failed to report no purchases of round fish, this should be noted as an observation.</p> <p>Auditor checks that email has been sent to <a href="mailto:rfmodata@iss-foundation.org">rfmodata@iss-foundation.org</a> indicating that company only purchases loins and/or finished goods from other ISSF Participating Companies. Here again, requirement came into effect in Oct 2016, thus if company did not send the emails, it should be noted as an observation.</p>		<p>ISSF timeline requirements. // On 3/6 company provided evidence that it had set up an internal system to ensure that reports are sent within the approved timeline. Effectiveness of the system will be reviewed during Updated Compliance audit (summer 2018).</p> <p>Product type was not reported on the RFMO reports. // On 3/6 company confirmed that product is always whole round and provided evidence that it had added a column in the RFMO reports re product type.</p> <p>The auditor was able to locate all vessels and corresponding trips from direct whole round purchases identified in the traceability exercise in the submitted RFMO reports.</p>	<p>evidence that it had corrected the issues raised during the audit. Company has put in place a system to ensure similar errors do not occur going forward. RFMO reports for Q4 2017 and Q1 2018 were received on time, and all categories have been properly completed, providing some evidence that the procedure(s) put in place by the Company are functioning as intended.</p>
3.4	Skipper Best Practices	<p>Unless exempt per Conservation Measure 8.1, skipper [1] has attended an ISSF Skippers Workshop in person, has viewed the Skippers Workshop video online, or has reviewed the Skippers Guidebook. The workshop video is located at this <a href="#">link</a>. The online guidebook is located at <a href="http://www.issfguidebooks.org">www.issfguidebooks.org</a>.</p>	All Purse Seine	<p>Verify that the company has purchased tuna only from vessels with skippers that have completed ISSF best practices education. Auditor checks PVR and ISSF list of individuals who have attended Skippers Workshops or have certified that they read the online Skippers Guidebook or viewed the online Skippers Workshop video; or the PC may provide evidence of skipper review of guidebook, video, including list of skippers, method of</p>	OK	<p>Company provided evidence that vessel captains associated with some vessels had taken the ISSF Skipper Training. A sample of these vessel / captain combinations were verified.</p> <p>The following vessels did not have an associated captain that had taken the training: BD-91010-TS, BD-91089-TS, BD-91324-TS, BD-95186-TS, BD-97139-TS, BD-99028-TS, and none of the boats with registration numbers beginning with "TG". // On 3/5</p>	<p>Closed on July 17, 2018.</p> <p>Close on July 17, 2018.</p> <p>Company provided evidence (also sent to the PVR administrator) that skippers for all purse seine vessels it sources from had undergone the ISSF Skipper Training. All purse seine skippers listed in the Q4 2017 and Q1 2018 RFMO reports were listed on</p>



CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
				review and dates of completion.		<p>company indicated that these skippers had not been trained because no skipper training had occurred in Vietnam since 2016. Auditor explained that skipper training can be done remotely, and company is now in the process of ensuring said skippers receive training. Skipper training will be reviewed again during the Updated Compliance audit (summer 2018).</p> <p>Most skippers of the selected purse seine vessels that are part of the traceability exercise joined an ISSF training except vessel BD-91034-TS, BD-95388-TS and BD-96978-TS. // Please see above explanation.</p>	the 'trained skipper' record kept by ISSF.