

## Introduction

To support tuna stock and tuna ecosystem sustainability, [ISSF participating companies](#) agree to meet a number conservation measures and commitments designed to improve the long-term health of tuna fisheries.

This document describes those conservation measures and commitments in effect for 2014 and briefly covers the protocol for the auditing of participating company compliance with each measure. Participating Companies are obliged to respond fully, accurately and in a timely manner to all reasonable requests made in the course of the reviews and to provide appropriate supporting documents, data or other support.

The full audit protocol with details on the audit process and procedures can be found here: <http://iss-foundation.org/resources/downloads/?did=534>.

## ISSF Conservation Measures, Commitments and Guidance

Resolution	Category	Gear Type	Guidance - What is Required by Auditors
<a href="#">09-03.2</a>	<b>RFMO Data Support</b>	All	Send information to RFMO scientific bodies in accordance with "Best Practices for RFMO Data Submission". <a href="http://iss-foundation.org/resources/downloads/?did=492">http://iss-foundation.org/resources/downloads/?did=492</a>
<a href="#">09-04.1</a>	<b>Traceability</b>	All	Demonstrate ability to trace products from can code or sales invoice to vessel and trip.
<a href="#">09-04.2</a>	<b>Withdrawal if IUU Found</b>	All	No IUU purchases. If IUU found, company must withdraw these products from the marketplace.
<a href="#">10-01.1</a>	<b>Authorized RFMO List</b>	All	All purchases must be from vessels listed on an RFMO vessel record, unless ineligible due to size. For any purchases from non-PVR vessels, maintain a system to check and approve vessel listing.
<a href="#">10-01.2</a>	<b>IMO UVI</b>	All	All purchases must be from vessels with an IMO UVI number. If the vessel is ineligible due to size, <a href="#">Resolution 14-03.C</a> applies (vessel must obtain UVI from ISSF).
<a href="#">10-02.1</a>	<b>Large-Scale Pelagic Driftnets</b>	All	No transactions in vessels using large-scale pelagic driftnets.
<a href="#">11-04</a>	<b>RFMO Participation</b>	All	All purchases must be from vessels flagged to a member or cooperating non-member of RFMO relevant to fishing area.

<a href="#">12-01.1</a>	<b>Policy for Prohibition of Shark Finning</b>	All	Company establishes and publishes policy prohibiting shark finning.
<a href="#">12-01.4</a>	<b>No Transactions with Vessels that Carry Out Shark Finning</b>	All	Refrain from transactions with vessels that have shark finned within two years of the product purchase date (as found by RFMO or competent national authority).
<a href="#">12-01.4</a>	<b>No Transactions with Companies that Do Not Have a Public Policy Against Shark Finning</b>	All	No transactions with companies that do not have a public policy prohibiting shark finning. If transactions involve flag states that absolutely prohibit shark finning no policy is required. If flag state allows 5% shark fin retention, the company must have a public policy.
<a href="#">14-02.1</a>	<b>Register All Controlled Purse Seine Vessels on PVR</b>	All Purse Seine	Register all controlled purse seine vessels on the PVR.
<a href="#">14-02.2</a>	<b>PVR Statement of Support</b>	All Purse Seine	Company provides URL for PVR statement of support.
<a href="#">14-02.3</a>	<b>Reporting % of PVR Purchases</b>	All	Company provides URL for statement regarding % of purchases from PVR vessels.
<a href="#">14-03.C.3.1</a>	<b>Observers</b>	Large Purse Seine	Evidence of 100% observer coverage (human or electronic per ISSF guidance) through trip reports, RFMO data, agreement or contract with observer provider, etc.
<a href="#">14-03.B.2</a>	<b>Skipper Training</b>	All Purse Seine	All skippers must undergo ISSF training for best practices. The online guidebook is located at <a href="http://issfguidebooks.org">http://issfguidebooks.org</a>
<a href="#">14-03.C.1</a>	<b>Unique Vessel Identifier (UVI)</b>	All Purse Seine	All purse seine vessels with which the company transacts in tuna must have an ISSF issued UVI if ineligible for an IMO number due to size or fishing area.
<a href="#">14-03.C.4, 12-03</a>	<b>No Transshipment</b>	All Purse Seine	No transactions in tuna where transportation included transshipment, except when exempt per <a href="#">Resolution 12-03</a> (fishing only in the archipelagic waters of PNG; and that are based in PNG and are associated with processing facilities in PNG).

<a href="#">14-03.D</a>	<b>Full Retention of Tunas</b>	All Purse Seine	Purse seine vessels must retain all skipjack, yellowfin and bigeye tuna. Full retention will pertain to every fishing trip, in the presence of an observer.
<a href="#">14-04</a>	<b>No IUU</b>	All	No transactions in tuna caught by harvesting vessel(s) or transported by transshipment vessel(s) on the IUU Vessel List of any tuna RFMO.
<a href="#">14-06.1</a>	<b>Capacity</b>	Large Purse Seine	Demonstrate that all purchases from large-scale purse seine vessels are from vessels on the ISSF Record of Large-Scale Purse Seine Vessels. If a vessel is not present on the Record, the company shall provide evidence that the vessel meets the provisions of <a href="#">14-06.4</a> so that it may be added.
<a href="#">14-06.4</a>	<b>Capacity - Fishing Before December 31, 2012</b>	Large Purse Seine	Provide certification from government body that vessel was licensed to fish for tuna before December 31, 2012, or provide proof from ISSF participating company that tuna was purchased from the vessel before December 31, 2012.
<a href="#">14-06.5</a>	<b>Capacity - Under Contract Before December 31, 2012 with Construction Completed by June 30, 2015</b>	Large Purse Seine	To demonstrate that the vessel was contracted for construction before 12/31/12, the vessel representative may provide such evidence as a signed contract, supporting correspondence, deposit paid, plans, photos, etc., certificate from IACS member, IMO number or RFMO record. To demonstrate that construction was completed before 6/30/15, the vessel may provide such evidence as completion of sea trial, certificate from shipyard, sale of fish, skippers log, observer report, etc., certificate from government agency.
	<b>Capacity - Change in Name, Flag or Registration Number</b>		Vessel provides update regarding name, flag or registration number.
	<b>Capacity - Vessel Replacement</b>		If vessel replacement is one to one, the well volume of the new vessel must be less than or equal to the well volume of the vessel being removed. If a new vessel is intended to replace multiple vessels listed in the ISSF Record, the fish hold volume of the new vessel must be less than or equal to that of the older vessel that was on the ISSF Record; or the aggregated replaced capacity of more than one vessel already on the ISSF Record must be at least 1.2 times the new capacity (aggregated replaced capacity/1.2 = permitted new capacity).
<a href="#">14-06.5</a>	<b>Capacity - Vessel Refurbishment</b>		If a vessel on the ISSF Record is to be refurbished, the aggregated replaced capacity must be at least 1.2 times the capacity of the vessel before refurbishment ((original vessel capacity + replaced capacity)/1.2 = refurbished capacity).
<a href="#">ISSA Compliance Policy</a>	<b>CEO Certificate of Compliance</b>	N/A	Company submits CEO Certificate of Compliance to ISSF.