

The following information is based on data provided by the company; it has been independently audited for completeness and accuracy (Pursuant to stated ISSF guidelines).



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ISSF Participating Company:

Salica Industria Alimentaria, S.A.

Update of Compliance Status issued on:

August 31, 2016 for activities in 2015

Compliance Snapshot			
Conservation Measure	Current	2015	2014
1.1 RFMO Authorized Vessel Record	OK	MINOR	OK
1.2 RFMO Participation	OK	OK	OK
2.1 Product Traceability	OK	OK	OK
2.2 Quarterly Data Submission to RFMO	MINOR	MINOR	MINOR
3.1(a) Shark Finning Policy	OK	OK	MINOR
3.1(b) Prohibition of Transactions with Shark Finning Vessels	OK	OK	MINOR
3.1 (c) Prohibition of Transactions with Companies without a Public Policy	OK	MINOR	OK
3.2 Large-scale Pelagic Driftnets	OK	OK	OK
3.3 Full Retention of tunas	OK	OK	OK
3.4 Skippers Best Practices	OK	OK	OK
4.1 UVI-IMO	OK	OK	MINOR
4.2 Purse Seine Unique Vessel Identifiers	OK	MINOR	MINOR
4.3(a) Observer Coverage	OK	OK	OK
4.4(a) Transshipment	OK	OK	MINOR
5.1 IUU Fishing	OK	OK	OK
5.2 IUU Product Response	OK	OK	OK
6.1 Transaction Ban for LPS vessels not Actively Fishing for Tuna on Dec. 31, 2012	OK	OK	OK
6.2(a) 2.a-d and 6.3(a) Requirements for Inclusion in Large-scale Purse Seine Vessels	N/A	N/A	N/A
7.1 Registration of Controlled Vessels	OK	OK	OK
8.1 Exemption for Very Small Purse Seine Vessels	N/A	N/A	N/A

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Detail of Conservation Measure and Corrective Action

CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
1.1	Tuna RFMO Authorized Vessel Record	All purchases must be from vessels listed on the authorized vessel record of the RFMO governing the ocean area in which the tuna was caught, at the time of the fishing trip, so long as the vessel is of a size subject to listing in the RFMO authorized vessel record. For any purchases from non-PVR vessels, maintain a system check and approve vessel listing.	All	Auditor reviews company system to ensure that purchases are from properly listed vessels. Traceability exercises, reviewing RFMO vessel records and checking ocean areas where vessels are fishing verifies the system.	OK	The company has a documented procedure to identify approve and monitor suppliers. The procedure states that suppliers need to present documentation of compliance with ISSF conservation measures.	On 7/20, company provided evidence that vessels (REGENT, DUNCAN, BONAVENTURE, Pole and line (US flag AMYLYN)) are exempt from registration on vessel record.
						All purse seine vessels listed on the PVR	
						Pole and line registered on the IATTC authorized vessel record.	
					OK	Could not find evidence of RFMO registration for the following longline vessels: REGENT, DUNCAN, BONAVENTURE, Pole and line (US flag AMYLYN)	
					OK	All vessels from tracebacks are listed on the PVR.	

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2.2	Quarterly Data Submission to RFMO	Send information as described in the measure to RFMO scientific bodies for each quarter (Q1-Q3) by the end of the month following each quarter.	All	Auditor checks that information has been sent by companies to RFMO for all purchases.	OK	All RFMO data was sent to the relevant RFMOs on: 6/10/2015 (QTR 1)**3 months late; 9/7/2015 (QTR 2)**3 months late; 11/22/2015 (QTR 3)**1 month late	Evidence provided on 7/20 shows that data was submitted on time.
					Obs	Note that vessel Galerna was assigned the wrong IMO and flag.	Evidence submitted on 7/20 shows this has been corrected.
					OK	Skipjack or yellowfin are not broken down by size. This issue was also reported in the 2014 audit.	Evidence submitted on 7/20 shows breakdown by size.
					Minor	No data submission could be found for the vessel Albatun Dos during the traceback LK10YSE.	On 7/20, Salica indicated this was due to a supplier error and that they would ensure this does not happen again. In order to close this NC, Salica must describe how they will ensure that all relevant purchases are included in RFMO data submissions.

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CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
3.1(c)	Prohibition of Transactions with Companies without a Public Policy Prohibiting Shark Finning	No transactions with companies that do not have a public policy prohibiting shark finning. If transactions involve flag states that absolutely prohibit shark finning no policy is required. If flag state allows 5% shark fin retention, the company must have a public policy.	All	Auditor reviews company procedure for ensuring that all tuna purchases have come from a company that has a public policy prohibiting shark finning. For flag states that do have an absolute shark finning prohibition, auditor will review all publicly available material to ensure no shark finning has taken place. Traceability exercises by transaction or can code to PVR vessels or proof of compliance verifies the system.	OK OK OK	Company has a system to prevent transaction with vessels that do not have public anti shark finning policy. Company works only with approved suppliers. To be approved, fish suppliers have to fulfill the ISSF resolutions, which includes submitting shark finning policy (see form attached). Could not determine if non-PVR vessels including (trollers, trawlers and longliners) had an anti-shark finning policy. All vessels from traceback are listed on the PVR.	On 7/20, 8/18 and 8/31, Salica provided evidence of policies against shark finning for all vessels it sourced from.
4.2	Purse Seine Unique Vessel Identifiers	All purse seine vessels with which the company transacts in tuna, and which are not able to receive an IMO UVI number, must have a TUVI issued by CLAV http://www.tuna-org.org/GlobalTVR.htm or ISSF http://issf-foundation.org/download-monitor-demo/download-info/uvi-and-imo-number-instructions/ unless exempt	All Purse Seine	Auditor reviews company method to ensure that all vessel purchases meet this criteria. A sample of non-PVR purchases will be reviewed to assess whether the company system is adequate to ensure that non-PVR vessels also meet this requirement.	OK OK	Company has a system to ensure vessels have UVI. Company works only with approved suppliers that state that they comply with ISSF resolutions. It is unclear how Company verifies UVI number and if all vessels (non PVR)	On 7/20 and 8/18, company provided evidence re policy

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CM	Category	Category Guidance	Gear Type	Means of Verification	Grade	Evidence at the end of Remediation period	Corrective Action
		per Conservation Measure 8.1.			OK	where IMO is not applicable has an ISSF UVI. All vessels from traceback are listed on the PVR.	in place to verify UVI and IMO.